

1 **Estimation of dynamic friction and movement**  
2 **history of large landslides**

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6 Received: date / Accepted: date

7 **Abstract** We performed seismic waveform inversions and numerical landslide  
8 simulations of deep-seated landslides in Japan to understand the dynamic evo-  
9 lution of friction of the landslides. By comparing the forces obtained from a  
10 numerical simulation to those resolved from seismic waveform inversion, the  
11 coefficient of friction during sliding was well constrained between 0.3 and 0.4  
12 for landslides with volumes of  $2-8 \times 10^6 \text{ m}^3$ . We obtained similar coefficients of  
13 friction for landslides with similar scale and geology, and they are consistent  
14 with the empirical relationship between the volume and dynamic coefficient of  
15 friction obtained from the past studies. This hybrid method of the numerical

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simulation and seismic waveform inversion shows the possibility of reproducing or predicting the movement of a large-scale landslide. Our numerical simulation allows us to estimate the velocity distribution for each time step. The maximum velocity at the center of mass is 12-36 m/s and is proportional to the square root of the elevation change at the center of mass of the landslide body, which suggests that they can be estimated from the initial DEMs. About 20% of the total potential energy is transferred to the kinetic energy in our volume range. The combination of the seismic waveform inversion and the numerical simulation helps to obtain the well-constrained dynamic coefficients of friction and velocity distribution during sliding, which will be used in numerical models to estimate the hazard of potential landslides.

**Keywords** landslide · dynamic friction · numerical simulation · seismic waveform inversion · force history

## 1 Introduction

Dynamic friction of landslides is one of the key factors controlling the mobility of slope failures. The runout distance and velocity of landslides strongly depend on this parameter. Various friction models calibrated by analytical solutions on the laboratory scale and runout distance of landslides have been proposed (e.g. Guthrie et al. 2012; Moretti et al. 2012; Lucas et al. 2014; Pastor et al. 2014).

Conventionally, it was estimated by the ratio of the drop height ( $H$ ) and runout ( $L$ ), which is referred as Heim's ratio ( $H/L$ ). Several observations based

38 on experimental and field surveys indicate that larger landslides have a smaller  
39 apparent coefficient of friction (Hsü 1975; Dade and Huppert 1998; Legros  
40 2002; Balmforth and Kerswell 2005; Mangeney et al. 2010; Farin et al. 2014).  
41 Lucas et al. (2014) proposed an empirical velocity-weakening friction law cal-  
42 ibrated by the extension of landslide deposits using the SHALTOP numerical  
43 model. The results showed that the effective friction coefficient (a function of  
44 the slope, thickness of the released mass, and distance travelled by the front  
45 along the slope) explained the volume dependency more precisely than Heim’s  
46 ratio. The advantage of numerical simulations is that three dimensional to-  
47 pography and mass deformation can be included, so the results can be more  
48 realistic than those using the more straightforward Heim’s ratio.

49 Recent studies show that the use of seismic signals allows us to obtain  
50 the physical parameters of high-speed landslides, such as the time history of  
51 the force acting on the surface, velocity, coefficient of friction (e.g. Kawakatsu  
52 1989; Brodsky et al. 2003; Favreau et al. 2010; Moretti et al. 2012; Yamada  
53 et al. 2013; Allstadt 2013; Ekström and Stark 2013; Moretti et al. 2015). It  
54 is a novel approach to estimate dynamic parameters of landslides, which may  
55 be difficult to obtain from a conventional field survey after the occurrence of  
56 a disaster. Yamada et al. (2016) used the SHALTOP numerical model and  
57 seismic waveform inversion to resolve the time-evolution of friction. They ob-  
58 tained a well constrained average coefficient of friction over the volume for the  
59 2011 Akatani landslide. This event was one of the sequential landslides caused  
60 by a typhoon, so it is important to study these landslides in similar geology

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61 and condition to understand the general dynamic behavior of landslides. In-  
62 vestigating the behavior of gravitational flows in a similar environment makes  
63 it possible to get insight into the possible volume dependence on the coefficient  
64 of friction.

65 In this paper, we used the seismic data of four large-scale deep-seated  
66 landslides in Japan caused by typhoons to estimate the dynamic frictional  
67 coefficients during the movement (see Table 1). In general, the seismic signals  
68 due to the landslides are much weaker than earthquakes, so they are generally  
69 difficult to detect with global or regional broadband seismic networks unless  
70 the landslides are greater than  $10^7$  m<sup>3</sup> in volume (Ekström and Stark 2013).  
71 Here, we utilise a very dense array of high-sensitivity accelerometers installed  
72 in boreholes across Japan (Okada et al. 2004). The sensors are collocated  
73 with Hi-net (High sensitivity seismograph network, Japan) and the average  
74 spacing of the stations is 20-25 km. Another advantage of these landslides is  
75 the precise topographic data obtained before and after the events from LiDAR  
76 data and photogrammetry, which enable direct measurements of the potential  
77 energy released by the landslide and provide a digital elevation model (DEM)  
78 for the numerical simulations. Using the method of Yamada et al. (2016), we  
79 propose a friction model, which describes the movement of these large bedrock  
80 landslides. The well-constrained dynamic coefficients of friction and velocity  
81 distribution during sliding will be used for the numerical model to assess the  
82 hazard of future potential landslides.

## 2 Sites and data

We focused on large landslides caused by heavy rainfall which occurred after 2004, when the dense seismic networks were installed in Japan (Okada et al. 2004; Public Works Research Institute, Japan 2017). Here we selected four large-scale deep-seated landslides in the south-western outer arc of Japan: one in Kyushu island: Nonoo, and three in the Kii Peninsula: Akatani, Iya, and Nagatono. The Nonoo landslide occurred on September 6, 2005 when Typhoon Nabi (No. 14 in Japan) produced heavy rainfall; over 500 mm during 72 hours on the Kyushu area. The Akatani, Iya and Nagatono landslides occurred on September 4, 2011, when Typhoon Talas (No. 12 in Japan) supplied rainfall ranging 1000 to 2000 mm over five days on the Kii Peninsula. We also checked the seismic data of all other large landslides greater than  $1 \times 10^6 \text{ m}^3$  since 2004, but the signal-to-noise ratio was not high enough to detect and reconstruct the motion of landsliding. Landslides right after large earthquakes are not suitable for this analysis either since the signal is contaminated by the earthquakes strong motion.

The locations and other information of the landslides are shown in Table 1 and Figure 1. The failed slopes have geometries of 460 to 1100 m in horizontal length and 270 to 640 m in vertical relief, with sliding volumes  $2\text{-}8 \times 10^6 \text{ m}^3$ . The geology of all the landslides are underlain by Neogene to Cretaceous accretionary sedimentary rocks. The bedrock of the Nonoo landslide is alternating beds of sandstone and mudstone, which have a north-ward inclination around 30 degrees and a NE-SW strike parallel to the dip direction of the slid-

106 ing hillslope (Chigira 2009). Landslides in the Kii area all occurred on dipping  
107 slopes of sandstone-mudstone alternating beds or chaotic rocks; for Akatani  
108 and Nagatono, a set of high-angle faults forms a wedge structure in the strata,  
109 which may bound the side scars of the landslides (Chigira et al. 2013). Slope  
110 angles for Akatani and Nagatono are 34 and 33 degrees respectively, whereas  
111 that of Iya is slightly lower, 24 degrees.

112 We used the F-net broadband seismograms and high-sensitivity accelero-  
113 grams recorded in boreholes across Japan (Okada et al. 2004). F-net contains  
114 three component STS-2 sensors with average spacing of about 100 km. The  
115 high-sensitivity accelerometers are collocated with the Hi-net velocity seis-  
116 mometers and consist of two horizontal components. The average spacing of  
117 the stations is 20-25 km. Since seismic signals due to landslides are very weak,  
118 the seismic station must be close to the landslide. We checked all stations less  
119 than 100 km from the landslides, and did not use records with poor signal-to-  
120 noise ratio. We mainly used data recorded at distances less than 50 km from  
121 the landslides (see Figure 2).

122 We obtained a DEM with 1 m grid spacing before and after the landslide  
123 from airborne LiDAR data (Yamada et al. 2013). If the LiDAR data before  
124 the landslide was not available, a 10m DEM made by photogrammetry was  
125 used instead (Geospatial Information Authority of Japan 2017) (see Table 1).  
126 The domain of the numerical simulation is shown in Figure 1. Due to the  
127 limitation of computation memory, we downsampled (or resampled for the  
128 10m DEM) the DEM to a 4 m grid for the Nonoo landslide, and a 5 m grid

129 for the other landslides. We used finer grids for the Nonoo landslide since it is  
130 smaller than others, but the long period waves greater than 10 s (wavelength  
131 of a few kilometers) used in this study are insensitive to this size of grid. We  
132 prepared two topographic data sets from the DEM; the sliding surface and the  
133 mass thickness on the surface. The sliding surface was constructed by taking  
134 the lower values of the DEMs before and after the landslide. The thickness  
135 of the sliding mass was computed by subtracting this sliding surface from the  
136 DEM before the landslide.

### 137 **3 Methods**

138 In order to explore the dynamic friction of the large landslides, we performed  
139 seismic waveform inversions and numerical simulations with our DEMs. The  
140 seismic waveform inversion provides a single force at the landslide which gen-  
141 erates the seismic waveforms (Nakano et al. 2008). The numerical simulation  
142 allows us to compute the force acting on the sliding surface, which is the sum-  
143 mation of the stress field applied by the landslide mass (Bouchut et al. 2003;  
144 Mangeney et al. 2000).

145 These force histories are strongly controlled by the flow rheology, i.e., dy-  
146 namic friction. Therefore, we can modulate the behavior of the sliding mass  
147 by changing the friction model. By comparing these forces with those calcu-  
148 lated from the seismic waveform inversion in the same frequency range, we  
149 can identify a friction model which describes the movements of large bedrock  
150 landslides (Moretti et al. 2015; Yamada et al. 2016). Note that the result of

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151 Akatani landslide was presented in Yamada et al. (2016) and we use their  
152 results to compare with the other landslides investigated here.

### 153 3.1 Seismic Waveform Inversion

154 We performed a waveform inversion using broadband seismic records and high-  
155 sensitivity accelerograms to obtain the source time function. We processed  
156 these records according to the following procedure. First, we removed the  
157 mean from the time series and corrected for the instrumental response in all  
158 waveforms. A non-causal fourth order Butterworth filter was applied to remove  
159 noise. We tuned the corner frequencies of the filter for each event shown in  
160 Table 2 to maximize the signal-to-noise ratio. The data was integrated in the  
161 time domain to obtain the displacement component. We then downsampled  
162 the data to reduce the sampling frequency to 1 Hz. We used these filtered  
163 displacement records for the inversion.

164 Following the method of Nakano et al. (2008), we performed a waveform  
165 inversion in the frequency domain to determine the source process of the land-  
166 slide. We calculated Green's functions at the given location of the landslide,  
167 using a discrete wavenumber method (Bouchon 1979) and the Japan Meteorolo-  
168 gical Agency (JMA) one-dimensional velocity structure model (Ueno et al.  
169 2002). Assuming a single-force mechanism for the landslide source (Hasegawa  
170 and Kanamori 1987), we estimated the least-squares solution in the frequency  
171 domain. We performed an inverse Fourier transform on the solution to deter-

172 mine source time functions for three single-force components at each source  
 173 location (Nakano et al. 2008).

### 174 3.2 Shallop Numerical Simulation

175 We used the SHALTOP numerical model to compute the spatiotemporal stress  
 176 field applied to the sliding surface by the moving landslide mass. It is based on  
 177 the thin-layer approximation and depth-averaging of the Navier-Stokes equa-  
 178 tions without viscosity (Bouchut et al. 2003; Mangeney et al. 2000; Mangeney-  
 179 Castelnau et al. 2005). The behavior of the sliding mass is strongly controlled  
 180 by the friction model. Followed by Yamada et al. (2016), we tested two dif-  
 181 ferent friction laws: Coulomb friction, in which the dynamic coefficient of fric-  
 182 tion is independent of sliding velocity, and a velocity-dependent friction model  
 183 (Pouliquen and Forterre 2002; Jop et al. 2006; Liu et al. 2016).

184 The velocity-dependent friction model is defined by the following equation:

$$\mu = \frac{\mu_o - \mu_w}{1 + \||U\||/U_w} + \mu_w \quad (1)$$

185 where  $\mu_o$  is the static coefficient of friction,  $\mu_w$  is the dynamic coefficient of  
 186 friction during sliding, and  $U_w$  is the characteristic velocity for the onset of  
 187 weakening.  $\||U\||$  is the scalar amplitude of the three component velocity vector  
 188 at each grid cell. Note that  $\mu_o$  is the coefficient of friction when  $\||U\|| = 0$ ,  $\mu_w$   
 189 is the coefficient of friction when  $\||U\|| = \infty$ , and  $U_w$  controls how quickly the  
 190 coefficient of friction drops as a function of velocity. We computed  $\mu$  for each  
 191 grid cell at each time step.

### 3.3 Estimation of Coefficients of Friction

We evaluated different friction models by comparing the simulated force with that obtained from seismic waveform inversion. The most probable coefficients for the friction model were obtained by a grid search. A parameter range for the Coulomb friction model ( $\mu_{const}$ ) is between 0.2 and 0.5 with a 0.02 increment. We selected this range so that the local minima are included. A three dimensional (3D) grid search for the velocity dependent friction model was performed in the following parameter space:  $\mu_o = (0.10, 0.20, 0.22, 0.24, \dots, 0.36, 0.38, 0.40)$ ,  $\mu_w = (0.1, 0.2, 0.3, 0.4)$ , and  $U_w = (0.5, 1, 2, 3, 4)$  m/s.

The normalized residual (hereafter referred to as the residual), defined as the following, is used to evaluate the quality of the fit:

$$R = \frac{\sum_{t=1}^{nt} (f_o(t) - f_s(t - \Delta t))^2}{\sum_{t=1}^{nt} (f_o(t))^2} \quad (2)$$

where  $f_o(t)$  and  $f_s(t)$  are the force at time  $t$  computed from the seismic waveform inversion and numerical simulation, respectively, and  $nt$  is the total duration of the force in 1 s intervals.  $\Delta t$  is selected to minimize the mean of the residuals for the three-component forces.

## 4 Results

### 4.1 Seismic Waveform Inversion

Figure 3 shows the source time functions of three single force components obtained from the seismic waveform inversion. Waveform fittings between observed and synthesized seismograms are shown in Supplemental Figures S1-S3.

212 We have better residuals for the Iya and Nonoo landslides than the Nagatono  
213 landslide, even though we used a wider frequency range for those landslides  
214 (see Table 2). This is because they are larger landslides and have closer seis-  
215 mic stations, which results in a better signal-to-noise ratio for the data. The  
216 waveform inversion results of the Akatani landslide were presented in Yamada  
217 et al. (2013), with a normalized residual (equation 2) of 0.08.

218 Figure 3 shows that phases of all three components are synchronized and  
219 the direction of the peak amplitude is the same as the landslide movement di-  
220 rection. This suggests that the force history obtained by the seismic waveform  
221 inversion reflects the main landslide movement. Note that the information for  
222 the vertical direction is limited since the high-sensitivity accelerometer consists  
223 of two horizontal components only. Therefore, we may not have enough reso-  
224 lution for the vertical component. For example, the force in the UD (up-down)  
225 component in Figure 3(c) is clearly overestimated, as we can see the poor fit in  
226 the UD displacement at TMC station (Supplemental Figure S3). For the Iya  
227 and Nonoo landslides, we used only EW (east-west) and NS (north-south)  
228 components to compute the residual in equation 2. We selected EW and UD  
229 components for the Nagatono landslide since they have better signal-to-noise  
230 ratio.

#### 231 4.2 Estimation of Coefficients of Friction

232 Figure 4 shows the residual of the coefficients of the Coulomb friction model.  
233 The parameter space is reasonably smooth, and the most probable coefficient

234 of friction ( $\mu_{const}$ ) is 0.32 for Iya, 0.40 for Nagatono, and 0.36 for Nonoo.  
 235 The  $\mu_{const}$  of Akatani landslide in Yamada et al. (2016) was 0.3, so these are  
 236 slightly larger than that of the Akatani landslide. The coefficients may vary  
 237 slightly depending on the filter type, components, or stations, but it would be  
 238 difficult to change the values of the most probable coefficients by 0.1.

239 Figure 5 shows the residual of the velocity dependent friction model in  
 240 the 3D parameter space. The optimal parameter sets are  $(\mu_o, \mu_w, U_w) = (0.6,$   
 241  $0.24, 4)$  for Akatani,  $(0.7, 0.28, 0.5)$  for Iya,  $(0.7, 0.34, 3)$  for Nagatono, and  
 242  $(0.7, 0.2, 4)$  for Nonoo. Although  $\mu_w$  is theoretically the smallest coefficient  
 243 of friction in the model, the coefficient of friction during sliding is controlled  
 244 by both  $U_w$  and  $\mu_w$ . In an extreme case, if  $U_w = \infty$ , the coefficient of friction  
 245 does not depend on  $\mu_w$ .

246 In order to evaluate the coefficient of friction during sliding, time history  
 247 of the mass-weighted average of the coefficient of friction for each model in  
 248 Figure 5 is shown in Figure 6. Although the velocity dependent model has  
 249 a trade-off between parameters in Figure 5, the average coefficient of friction  
 250 during sliding seems to be well constrained with a small variance. To evaluate  
 251 the variation of the dynamic coefficient of friction, the minimum coefficient  
 252 of friction for each model was computed, and the models whose residual was  
 253 within 0.05 from the smallest residual were selected. The mean and standard  
 254 deviation for the selected models are shown in Figure 7(a). The standard  
 255 deviation of the minimum coefficient of friction is less than 0.03, which suggests

256 that the dynamic coefficient of friction is well constrained, even though the  
257 standard deviation of  $\mu_w$  seems to be large in Figure 5.

#### 258 4.3 Deposit of landslides

259 Figure 8 shows the comparison between actual extent of the valley-fill deposits  
260 and the results of numerical simulations for the four landslides. Note that the  
261 depositional areas were estimated from elevation difference of the DEMs before  
262 and after the event; hence the upstream side of the deposits includes the areas  
263 of the barrier lakes in the cases of Akatani, Nagatono, and Iya (Figures 8(a),  
264 (b), and (c)). For the Nonoo case, since the landslide dam had been breached  
265 just after the event, the toe of the deposit was eroded by the outburst of the  
266 lake water. Low precision of the DEM before the landslide in the Iya and Nonoo  
267 cases made from aerial photogrammetry also resulted in the larger uncertainty  
268 in the reconstruction of deposit thickness.

269 Although the horizontal extent of deposits seems to be largely consistent,  
270 there are discrepancies in the distributions of thickness. One of the main rea-  
271 sons for this discrepancy is the limitation of the friction model. We used a  
272 model with a velocity-weakening friction law, as the friction decreases along  
273 with the sliding and then increases to the static value at the end of sliding  
274 when the velocity decreases. This hypothesized process has been developed for  
275 the modeling of dry granular flows. However, in reality, the pressure of the pore  
276 fluid significantly changes the landslide dynamics (Iverson 1997; Schulz et al.  
277 2009). Especially when the sliding mass reaches the valley bottom, generation

278 of high pore-water pressure due to the mass compression alters the behavior  
279 of the mass settlement. Indeed, parts of the landslide material fluidized and  
280 ran out as a debris flow down the valley.

281 Another limitation of the depth-averaged models is that the whole col-  
282 umn stops at the same time, whereas in actual granular flows there may be  
283 a propagation of the static/flowing interface towards the surface during the  
284 arrest phase (Ionescu et al. 2015; Fernández-Nieto et al. 2016). This could also  
285 change the final distribution of thicknesses.

286 The mass change due to erosion and entrainment at the bottom of sliding  
287 is another cause to produce this discrepancy of deposits. The erosional pro-  
288 cesses may significantly change the distribution of the deposit, which can be  
289 demonstrated by the change of the mass during sliding (Moretti et al. 2012).  
290 This entrainment effect was not considered in the model used here because of  
291 the relatively short runout distance.

292 As we have seen in past landslides, the dominant long-period seismic signal  
293 was effectively generated during the beginning to middle stages of the land-  
294 slide movement when the whole mass moves uniformly (Yamada et al. 2013;  
295 Hibert et al. 2015, 2017). The friction model is calibrated by the seismic signal  
296 and strongly depends on the large amplitudes during the early stage of the  
297 landslide. So it is difficult to reproduce the later extent of the deposit, because  
298 the model is strongly dependent on the earlier long-period seismic signals.

## 5 Discussion

We obtained a force history of large landslides from the seismic waveform inversion with broadband and high-sensitivity accelerometer data, which reflects the movement of the landslides. The numerical simulation benchmarked by the force history provides a reasonable estimate of the dynamic coefficient of friction.

### 5.1 Volume vs Coefficients of Friction

Figure 7(a) shows the relationship between the volume and coefficient of friction for the Coulomb and velocity dependent friction model of four landslides in this study. The coefficient of friction is well constrained between 0.3 and 0.4, although the range of the volume is limited possibly due to the similar geology (accretionary sedimentary rocks) and geometry (hillslope angle of  $30^\circ \pm 6^\circ$ ). These landslides in the same environment with similar volumes seem to have a comparable coefficient of friction estimated by the method of coupled seismic and modelling analysis. The Akatani landslide in Figure 7(a) shows little difference between the Coulomb friction model and velocity dependent friction model, which indicates the dynamic coefficient of friction is mostly constant during sliding, and can be approximated by the Coulomb friction model.

Figure 7(b) compares the relationship between the volume of the landslides from other studies and coefficients of friction obtained by: (1) the numerical simulation benchmarked by the deposits (Kuo et al. 2009; Tang et al. 2009; Kuo et al. 2011; Lucas et al. 2014), (2) the numerical simulation benchmarked by

321 the seismic signals (Moretti et al. 2015, this study), and (3) the force history of  
322 seismic waveform inversion (Brodsky et al. 2003; Allstadt 2013; Yamada et al.  
323 2013). Smaller, rockfall-type landslides (Volume  $10^2$ - $10^3$  m<sup>3</sup>) show a coefficient  
324 of friction of 0.6-0.7, whereas larger, deep-seated landslides (Volume  $> 10^7$   
325 m<sup>3</sup>) show a coefficient of friction smaller than 0.3. This is consistent with past  
326 observations based on field surveys, which show that the larger landslides tend  
327 to have a smaller apparent coefficient of friction (Scheidegger 1973; Hsü 1975;  
328 Dade and Huppert 1998).

329 We obtained similar coefficients of friction for the landslides with similar  
330 scale and geology. They are consistent with the empirical relationship between  
331 the volume and dynamic coefficient of friction obtained from past studies. This  
332 hybrid method of the numerical simulation and seismic waveform inversion  
333 shows the possibility of reproducing or predicting the movement of a large-  
334 scale landslide. However, direct observations of landslide movement, such as  
335 velocity, are required to verify these dynamic parameters.

## 336 5.2 Velocity history and Energy partition

337 Figure 9 shows the velocity history at the center of mass for the most proba-  
338 ble velocity dependent friction model. The Akatani landslide shows the largest  
339 velocity with 35.4 m/s, but other landslides also show a velocity greater than  
340 10 m/s. Although the maximum velocity and duration vary depending on the  
341 landslides, the macroscopic behavior, acceleration and deceleration phases, are  
342 similar for all landslides. As discussed in Yamada et al. (2013), the acceler-

343 ation phase represents the movement of the mass down the slope, and the  
344 deceleration phase represents the stopping of the mass at the bottom of the  
345 slope. This acceleration/deceleration waveform is typical in simple decreasing  
346 slope topography such as V-shaped valleys made by erosion (e.g. Yamada et al.  
347 2013; Hibert et al. 2015). More complex topography generates more fluctuating  
348 velocities (e.g. Schneider et al. 2010; Moretti et al. 2012; Allstadt 2013).

349 One of the advantages of this hybrid approach is to obtain the transition of  
350 the potential and kinetic energies directly from deposit and velocity snapshots.  
351 Landslide motion involves a cascade of energy that begins with gravitational  
352 potential energy transferred to kinetic energy, and eventually, all energy will  
353 be dissipated by the heat energy and fracture energy caused by grain contact  
354 friction and inelastic collisions (Iverson 1997). This energy transition depends  
355 significantly on the natural topography and materials (rock type and fluid),  
356 so estimating the movement of a landslide in advance has difficulty even if we  
357 know the precise topography of the slope.

358 Figure 10 shows the relationship between the elevation change of the DEM  
359 ( $h$ ) and maximum velocity ( $v$ ) at the center of mass estimated from our nu-  
360 merical simulations. It shows the linear relationship for this volume range,  
361 with  $v = 2\sqrt{h} = 0.45 \times \sqrt{2gh}$ . Ekström and Stark (2013) also provide these  
362 parameters obtained from the seismic waveform inversions and show the con-  
363 sistent relationship with our dataset (Figure 10). The elevation change at the  
364 center of mass is relatively available from DEM even before the landslide so  
365 the maximum velocity can be estimated from this relationship. It also sug-

gests the ratio of potential energy transferred to the kinetic energy is about constant, even if the size of the landslide is different. Suppose the total potential energy is converted to the kinetic energy under unrealistic conditions, we obtain  $v = \sqrt{2gh}$ . For our empirical relationship, about 20% ( $=0.45^2$ ) of the potential energy was converted to the kinetic energy. Our analysis provides the relationship between kinetic energy and the potential energy empirically for future landslide hazard analysis.

### 5.3 Limitations and Potential Applications for Hazard Analysis

Here we summarize the potential causes of uncertainties of this approach to estimate the dynamic coefficient of friction. First of all, the accuracy of the DEM is important. The DEM created by the photogrammetry had poor resolution and caused uncertainty in the deposit distribution of Figures 8(b) and (d). If the mass of the landslide before sliding and the deposits of the landslide after sliding overlap, the sliding surface cannot be obtained by the DEMs, and that causes an error of about 10% in the volume estimation.

A large long-period seismic signal was produced at the beginning to middle stage of landslide movement, and a short-period seismic signal was dominant at the end of sliding. Therefore, the calibration by the seismic signal strongly depends on the early stage of the landslide. The coefficient of friction during the main sliding is relatively well calibrated, but the friction at the end of the landslide, when the effect of excess pore pressure are significant, has poor resolution. This effect and lack of key physical processes in the numerical

388 models (fragmentation, erosion, presence of fluids, etc.) may explain why the  
389 extent of the deposit is difficult to reproduce by our friction law.

390 Despite the limitations, this empirical friction law can provide useful in-  
391 sights for future landslide hazard analysis. The movement of a landslide can  
392 be computed by the SHALTOP numerical model, once the topography of  
393 hillslopes and mass distribution are obtained. The horizontal extent of the  
394 potential area of future landslides can be obtained from the geomorphic in-  
395 terpretation for signals of deep-seated gravitational deformation of bedrock  
396 appearing on the ground surface using a high-resolution digital topographic  
397 model (Chigira et al. 2013). The thickness of the unstable mass can be esti-  
398 mated by the empirical relationship between the surface area and depth of the  
399 past landslides. The simulation can also be calibrated by the relationship be-  
400 tween the elevation change of the deposit and maximum velocity at the center  
401 of mass in this study. The numerical simulation provides a reliable velocity of  
402 a landslide since the force acting on the sliding surface is calibrated by seis-  
403 mic records, however, mass fragmentation, erosion, and pore water, should be  
404 carefully examined to better estimate the extent of the runout.

## 405 **6 Conclusions**

406 We performed seismic waveform inversions and numerical landslide simulations  
407 of deep-seated landslides in Japan to understand the dynamic evolution of  
408 friction of the landslides. By comparing the forces obtained from numerical  
409 simulation to those resolved from seismic waveform inversion, the coefficient

410 of friction during sliding was well constrained between 0.3 and 0.4 for landslides  
 411 with volume of  $2\text{-}8\times 10^6$  m<sup>3</sup>.

412 We obtained similar coefficients of friction for landslides with similar scale  
 413 and geology. They are consistent with the empirical relationship between the  
 414 volume and dynamic coefficient of friction obtained from past studies. This  
 415 hybrid method of the numerical simulation and seismic waveform inversion  
 416 shows the possibility of reproducing or predicting the movement of a large-  
 417 scale landslide.

418 Our numerical simulations allow us to estimate the velocity distribution at  
 419 each time step. The maximum velocity at the center of mass shows a linear  
 420 relationship with the square root of the elevation change at the center of mass,  
 421 which suggests that they can be estimated from the initial DEMs. About 20%  
 422 of the total potential energy is transferred to the kinetic energy in our volume  
 423 range.

424 The combination of the seismic waveform inversion and the numerical sim-  
 425 ulation helps to obtain the well-constrained dynamic coefficients of friction  
 426 and velocity distribution during sliding, which will be used for the numerical  
 427 model to estimate the hazard of potential landslides.

**Table 1** Landslide properties.

Name	Time (JST)	Vol. (m <sup>3</sup> )	L (m)	H (m)	$L_{CM}$ (m)	$H_{CM}$ (m)	Slope	DEM
Akatani	16:23, 9/4, 2011	$7.38\times 10^6$	1100	640	514	265	34°	1m/1m
Iya	06:54, 9/4, 2011	$4.67\times 10^6$	610	300	217	76	24°	10m/1m
Nagatono	10:45, 9/4, 2011	$3.63\times 10^6$	610	400	281	144	33°	1m/1m
Nonoo	21:49, 9/6, 2005	$2.72\times 10^6$	460	270	138	65	31°	10m/1m

The indices are: occurrence time, volume, horizontal hillslope length, vertical hillslope relief, horizontal displacement at the center of mass, elevation change at the center of mass, average slope angle, and resolution of DEM (before/after), from the left.

**Table 2** Simulation results.

Name	Waveform inversion		Numerical simulation			
	Freq. (Hz)	Force (N)	$\mu_{const}$	$\bar{\mu}_{dyn}$	$(\mu_o, \mu_w, U_w)$	Vel. (m/s)
Akatani	0.01-0.1	$5.22 \cdot 10^{10}$	0.30	0.30	(0.6, 0.24, 4)	35.5
Iya	0.016-0.1	$1.09 \cdot 10^{10}$	0.32	0.30	(0.7, 0.28, 0.5)	12.2
Nagatono	0.02-0.1	$1.65 \cdot 10^{10}$	0.40	0.39	(0.7, 0.34, 3)	21.2
Nonoo	0.01-0.1	$1.23 \cdot 10^{10}$	0.36	0.32	(0.7, 0.20, 4)	13.6

The indices are: frequency range for the waveform inversion, maximum force in the vector sum estimated from the waveform inversion, the best coefficient of friction for Coulomb friction model, the mean dynamic coefficient of friction for the velocity dependent friction model, parameters for the velocity dependent friction model, and maximum velocity at the gravity center, from the left.

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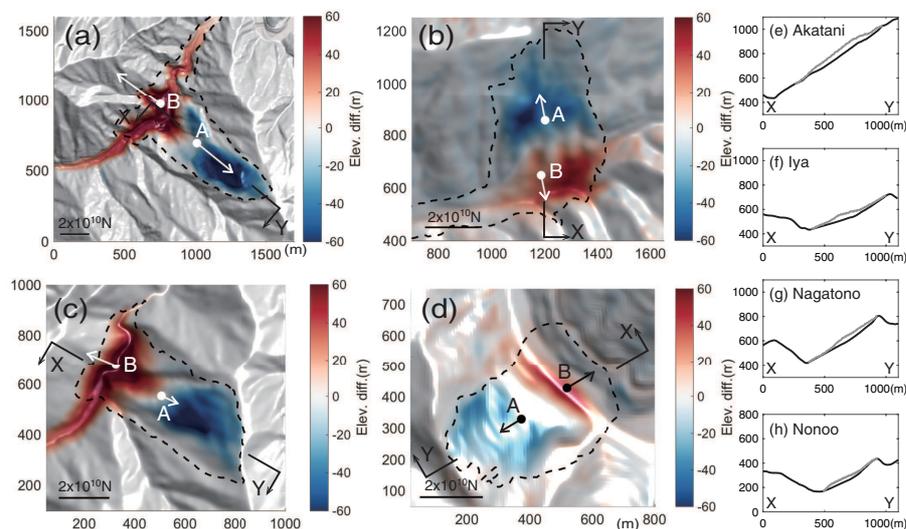
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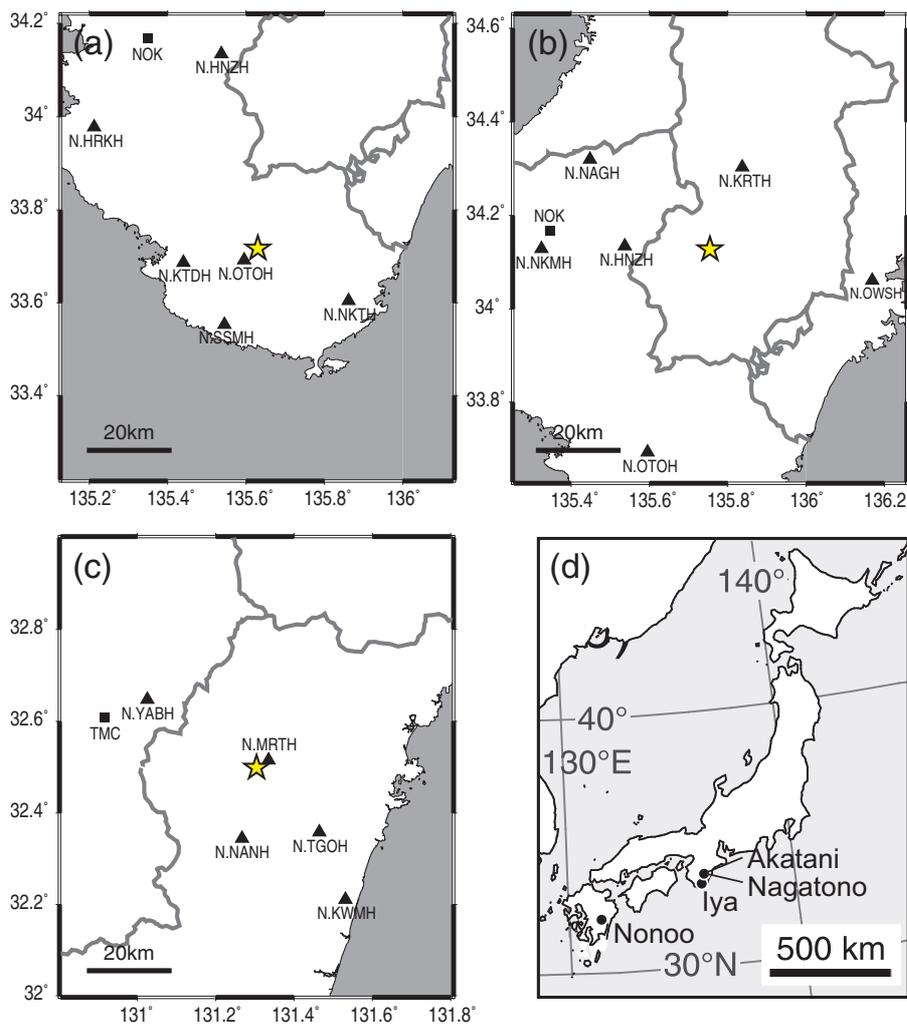
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545 **Acknowledgements** We acknowledge the National Research Institute for Earth Science  
 546 and Disaster Prevention for the use of F-net and Hi-net tiltmeter data. Data are available  
 547 at <http://www.fnet.bosai.go.jp/top.php>. High-resolution DEM data, which have been used  
 548 to calculate landslide volumes, were provided by the Nara Prefectural Government and the  
 549 Kinki Regional Development Bureau of the Ministry of Land, Infrastructure and Trans-  
 550 port. This research is funded by the John Mung Program (Kyoto university young scholars  
 551 overseas visit program) in 2014, the ANR contract ANR-11-BS01-0016 LANDQUAKES,  
 552 CNCSUEFISCDI project PN-II-ID-PCE-2011-3-0045, the USPC PAGES project, and the  
 553 ERC contract ERC-CG-2013-PE10-617472 SLIDEQUAKES. We appreciate for reviewers  
 554 and Professor Jim Mori in Kyoto University providing very useful comments to improve our  
 555 manuscript. We used generic mapping tools (GMT) to draw the figures (Wessel and Smith  
 556 1991).

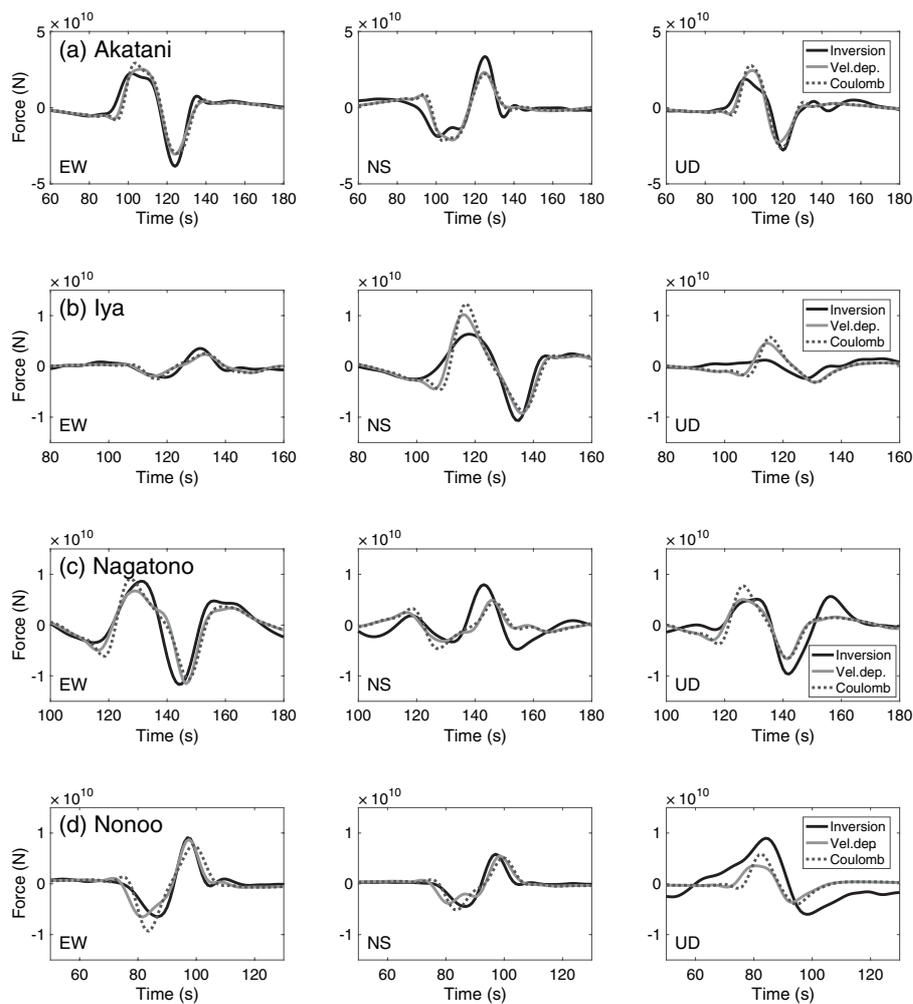
## 557 Figures and Tables



**Fig. 1** Topography of (a) Akatani, (b) Iya, (c) Nagatono, and (d) Nonoo landslides and its section (e)-(h). Colors show the elevation changes at the landslide estimated from airborne LiDAR topographic surveys. Arrows show the peak of force during acceleration phase A and deceleration phase B at the center of mass. Dashed line shows the extent of the landslide excluding the landslide dam. X and Y show the line of section.



**Fig. 2** Station distribution of seismic waveform inversion for (a) Iya, (b) Nagatono, and (c) Nonoo landslides. (d) Map of Japan and location of landslides. Stars show landslide location, and triangles and squares show high-sensitivity accelerograms and F-net broadband seismograms, respectively. Station distribution for Akatani landslide is shown in Yamada et al. (2013) as supporting information.



**Fig. 3** Comparison between the forces obtained from seismic waveform inversion (black lines) and forces obtained from numerical simulations with velocity dependent friction model (gray solid lines) and Coulomb friction model (gray broken lines). (a) Akatani (Yamada et al. 2016), (b) Iya, (c) Nagatono, and (d) Nonoo landslides.

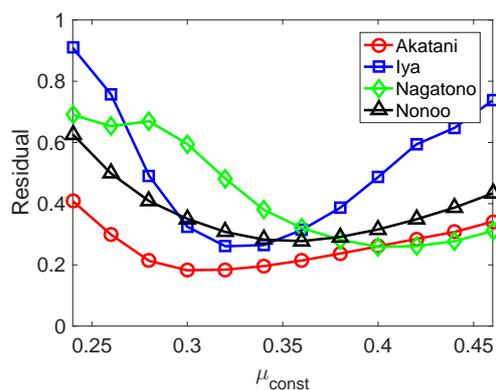


Fig. 4 Residual of the coefficients of the Coulomb friction model.

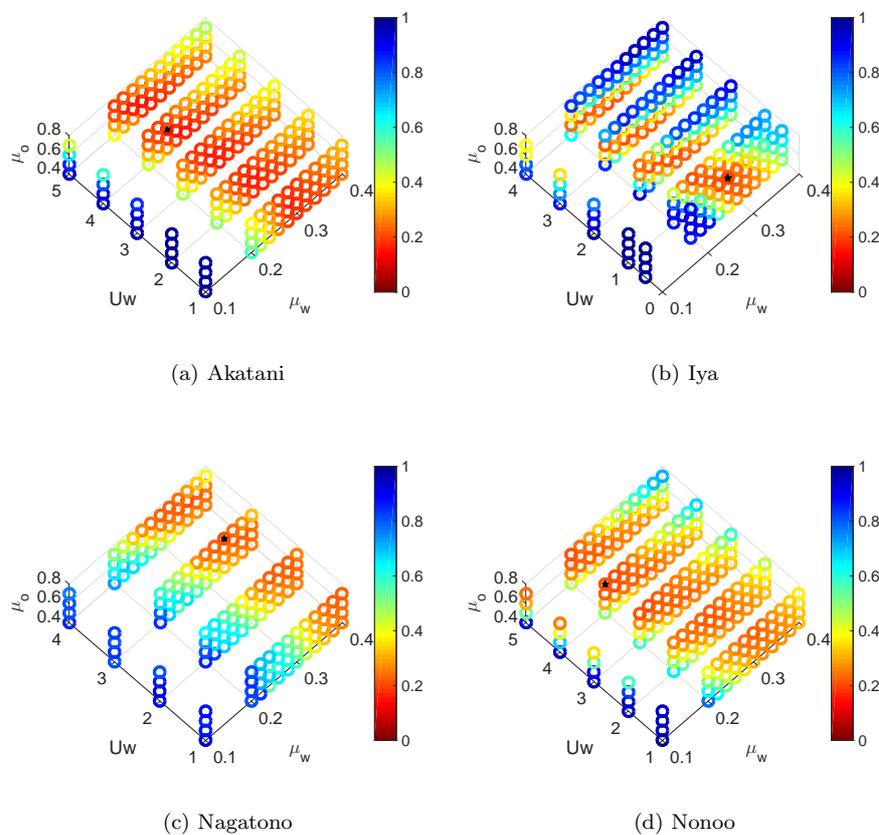
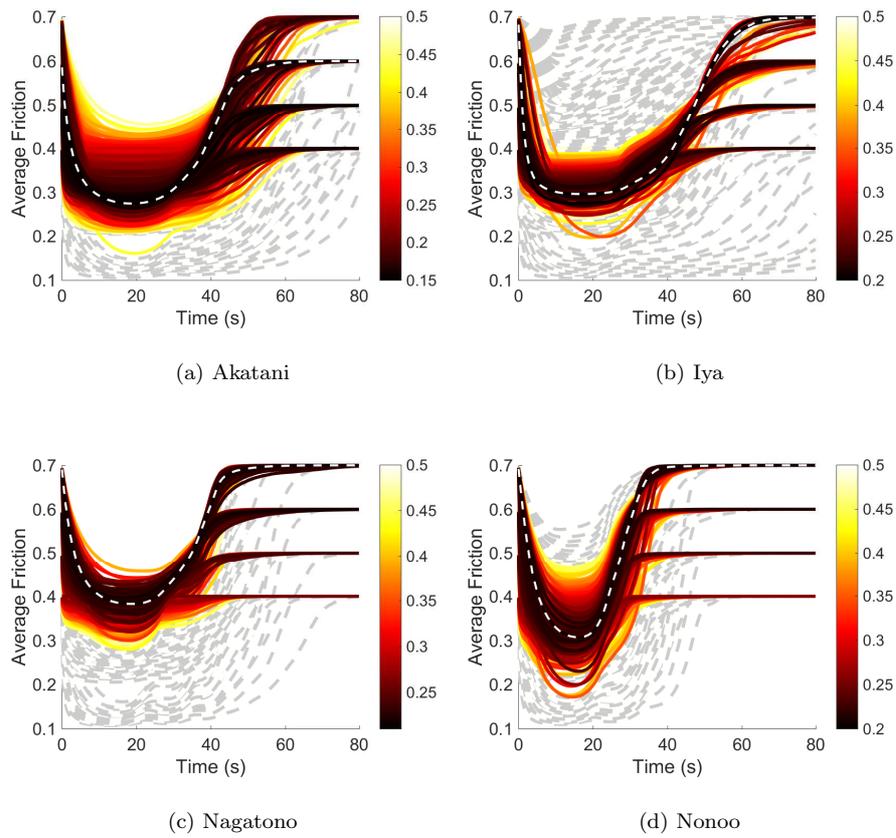
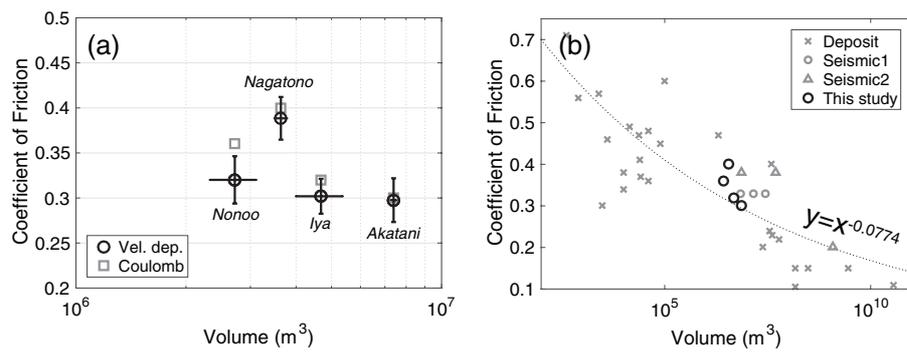


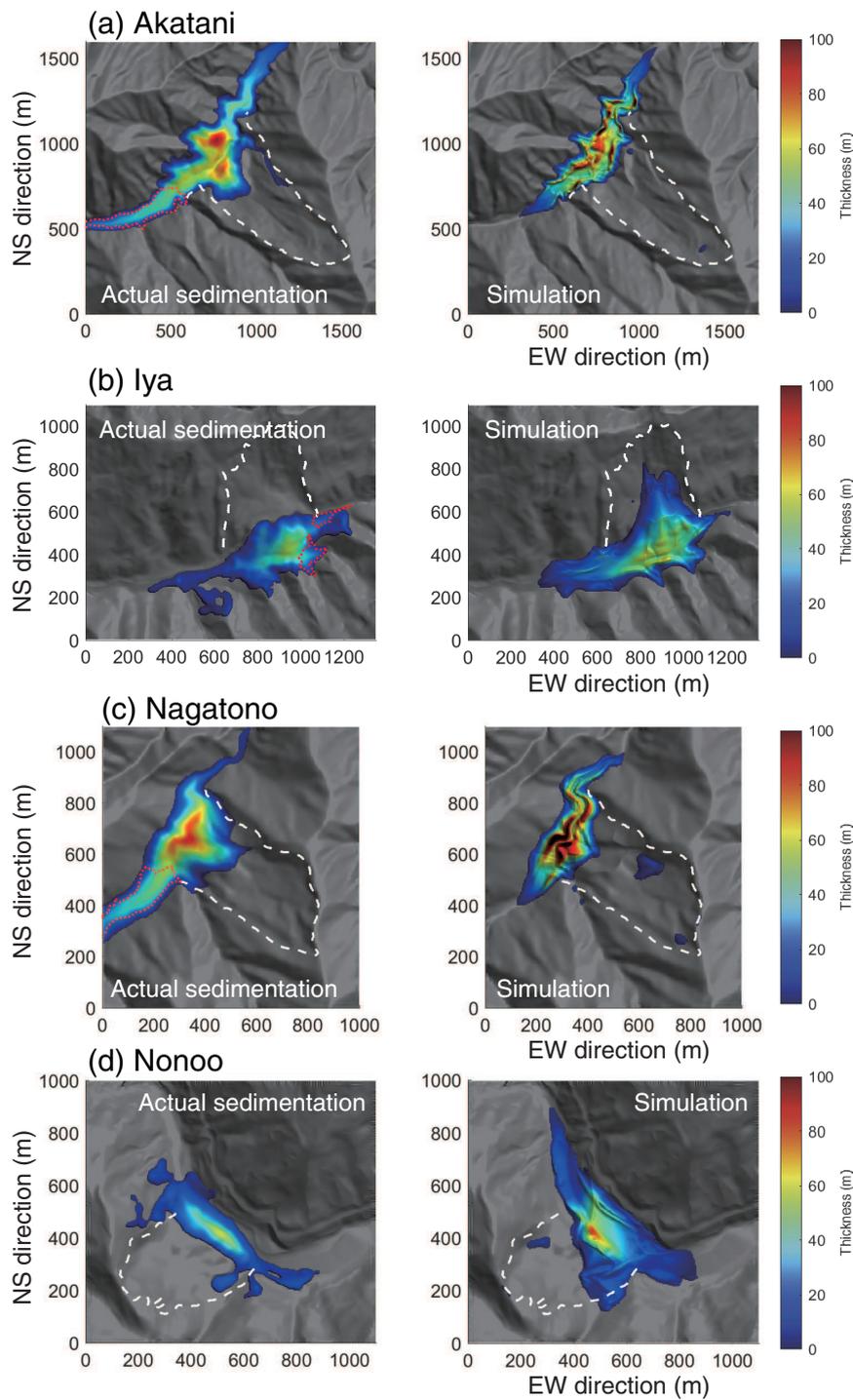
Fig. 5 Three dimensional residual space for a grid search of the velocity dependent friction model of (a) Akatani (Yamada et al. 2016), (b) Iya, (c) Nagatono, and (d) Nonoo landslides. Colors correspond to the residual values. Black stars show the minimum residual.



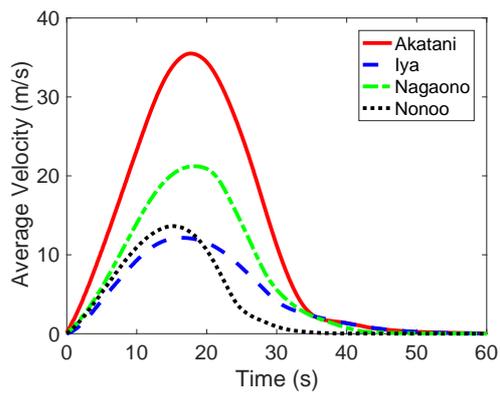
**Fig. 6** The time history of the average coefficient of friction for each model in Figure 5. Colors indicate the residual of each model. The white dashed line shows the model with the minimum residual.



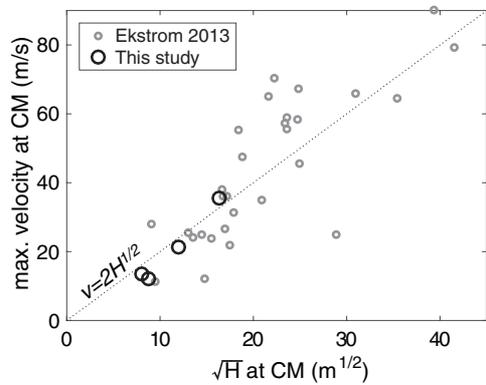
**Fig. 7** Relationship between the volume of landslides and coefficient of friction during sliding. (a) The results of this study. Circles show the coefficient of friction during sliding of the velocity dependent friction model with small residuals. Gray squares show the coefficient of friction of the constant friction model. (b) Comparison with other studies. Results of the x marks are obtained by the numerical simulation benchmarked by the deposits, circles are obtained by the numerical simulation benchmarked by the seismic signals, and triangles are obtained by the force history of seismic waveform inversion.



**Fig. 8** Runout extent of the landslides. Left: deposit in the DEM, right: result of simulation. The white dashed line shows the extent of the landslide source and red dotted line shows the landslide dam.



**Fig. 9** Time history of the velocity at the center of mass for the most probable parameter set of the velocity dependent friction model.



**Fig. 10** Relationship between the elevation change at the center of mass before and after the landslide and maximum velocity at the center of mass. Gray circles show the results of Ekström and Stark (2013).

# Supporting Information for "Estimation of dynamic friction and movement history of large landslides"

## **Additional Supporting Information (Files uploaded separately)**

Captions for Movies S1 to S3

**Introduction** The supporting information contains five figures and three movies. The movies show the result of the numerical simulation.

**Movie S1.** The snapshots of the height of the mass of each grid for the numerical simulation of the Iya landslide with velocity dependent friction law.

**Movie S2.** The snapshots of the height of the mass of each grid for the numerical simulation of the Nagatono landslide with velocity dependent friction law.

**Movie S3.** The snapshots of the height of the mass of each grid for the numerical simulation of the Nonoo landslide with velocity dependent friction law.

Contents of this file: Figures S1 to S3

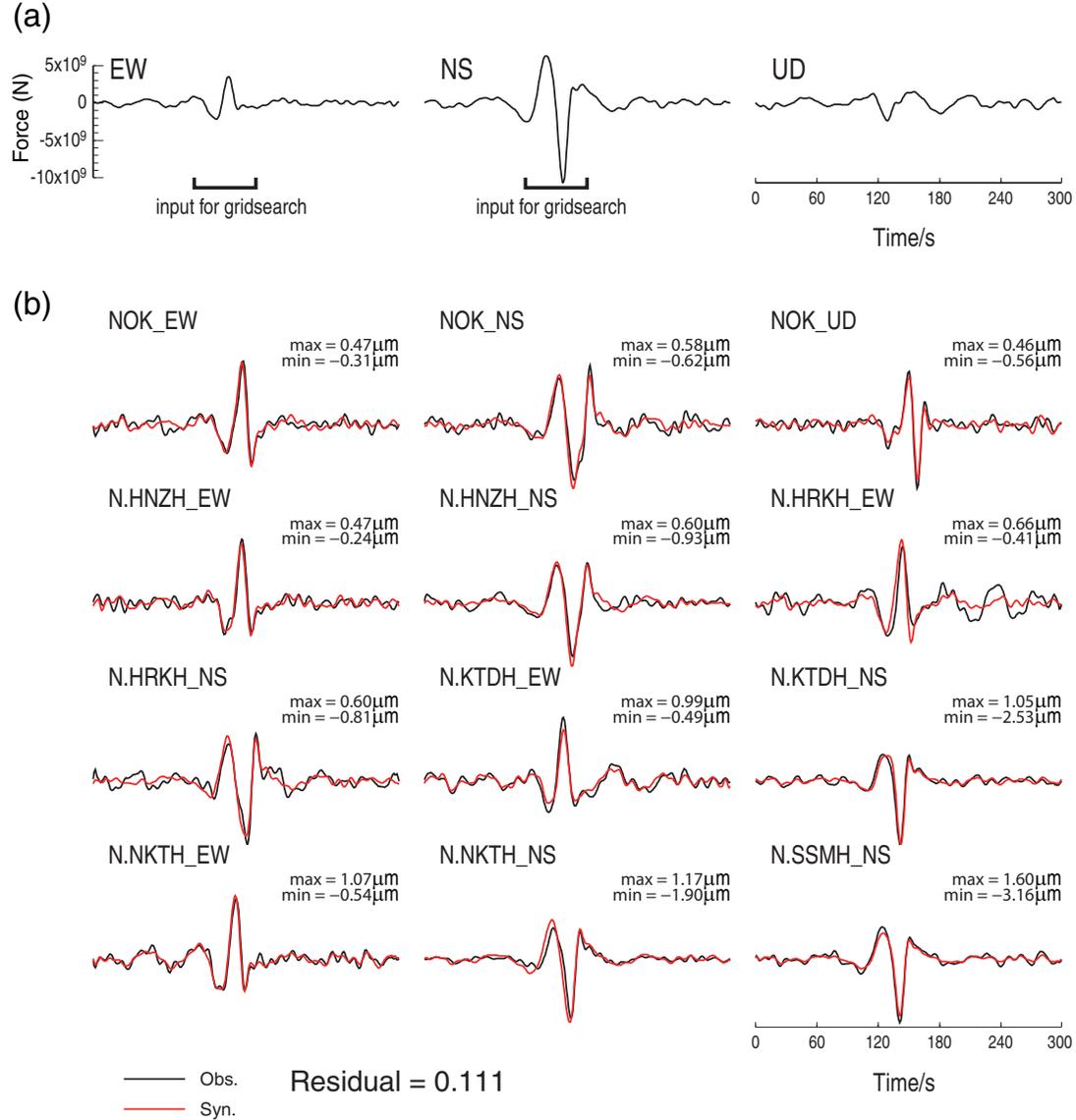


Figure S1: Seismic waveforms of the Iya landslides. (a) Estimated single-force source time functions for the EW, NS, and UD components. The windows used for a gridsearch of the best friction model is shown under the waveforms. (b) Displacement waveform fits between observed (black) and synthetic (red) data obtained from the source inversion. The letters on the left show the station code, and the numbers in the top right show the maximum and minimum amplitudes. The normalized residual of the waveform inversion is also shown at the bottom.

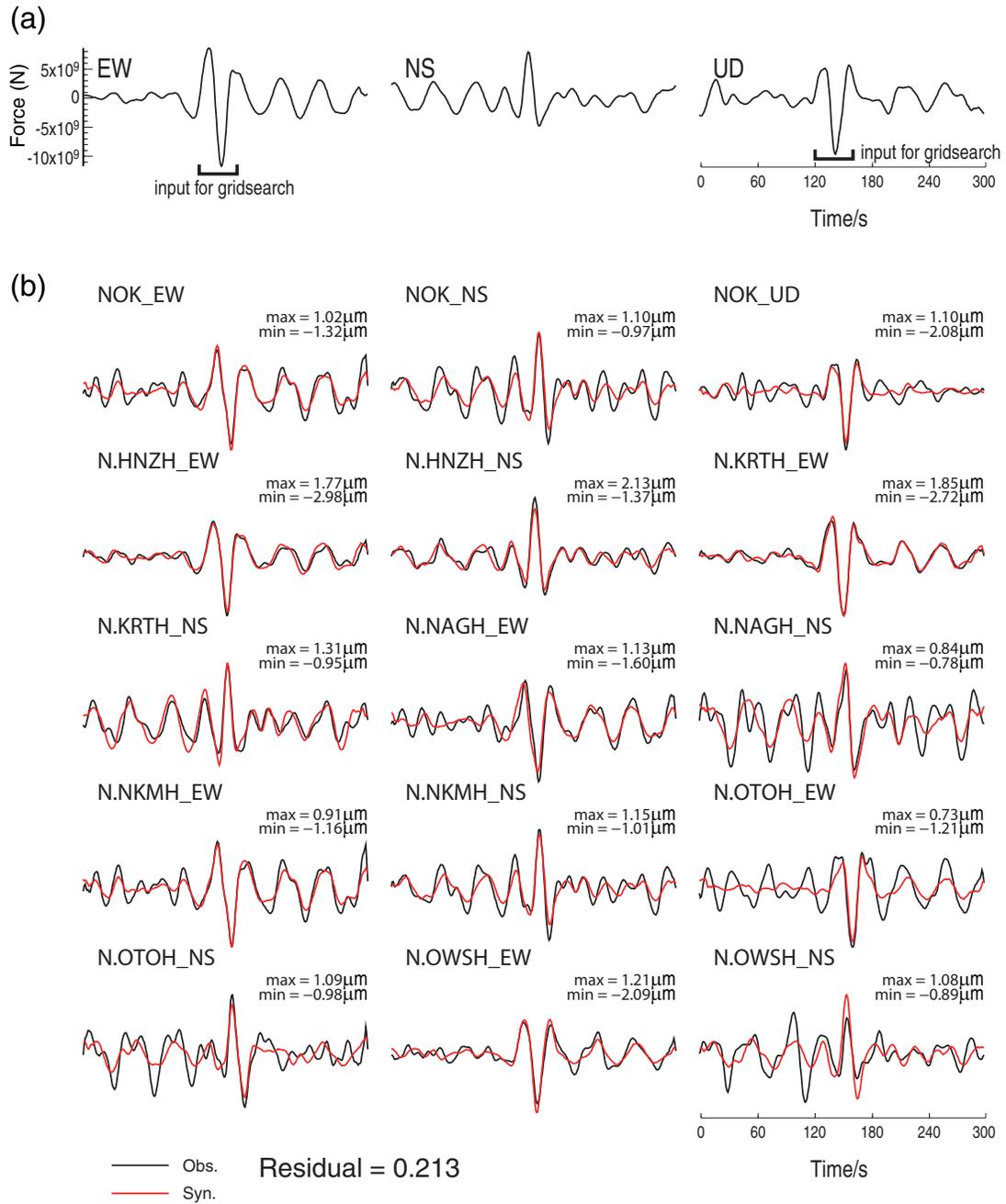


Figure S2: Seismic waveforms of the Nagatono landslides. The format is the same as Figure S1.

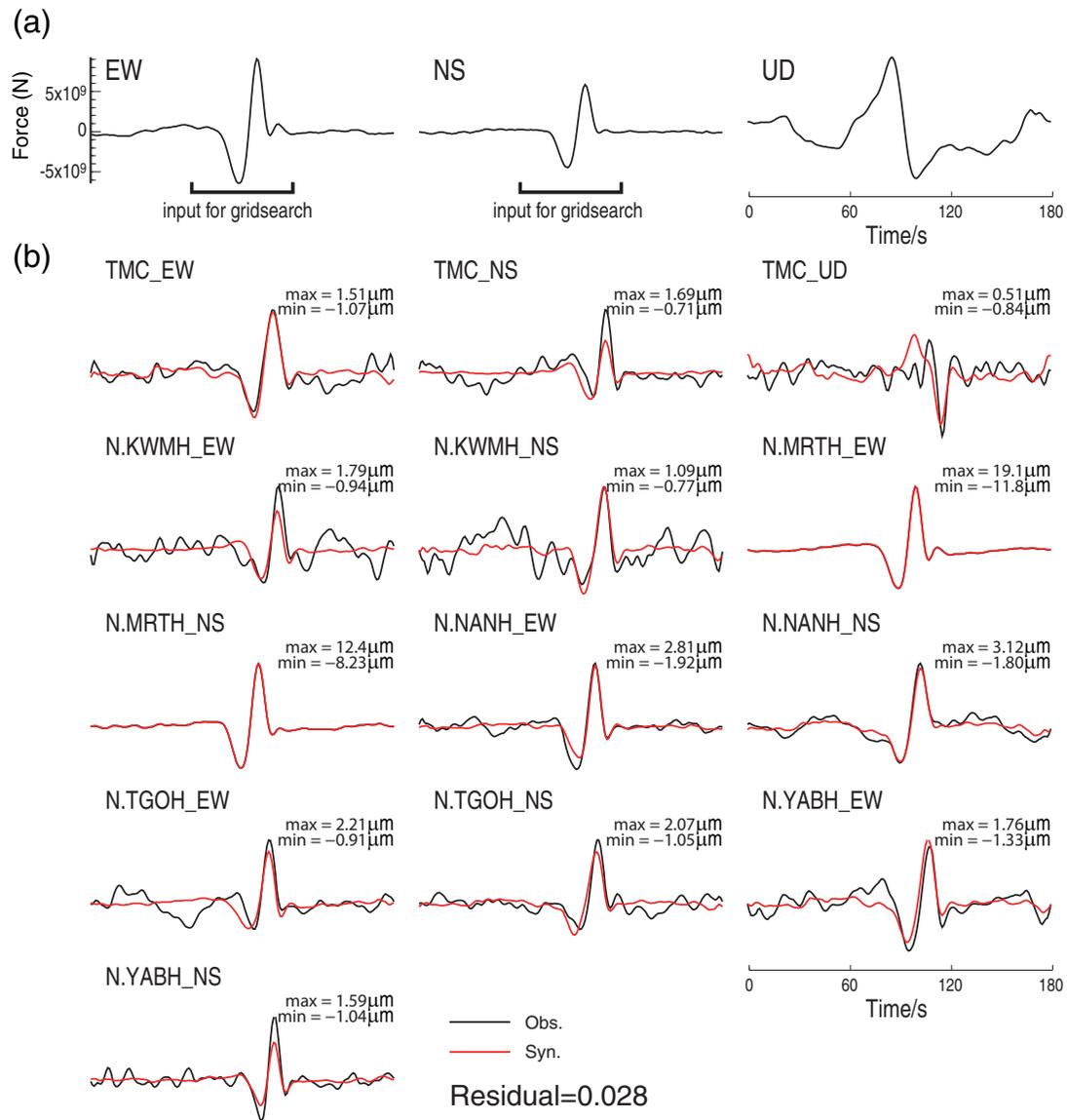


Figure S3: Seismic waveforms of the Nonoo landslides. The format is the same as Figure S1.