

Early warning development and testing in California

with a focus on



Richard Allen, Holly Brown, Peggy Hellweg,
Oleg Khainovski, Peter Lombard, Doug Neuhauser



Maren Böse, Georgia Cua, Doug Given,
Egill Hauksson, Tom Heaton, Tom Jordan,
Hiroo Kanamori, Phil Maechling,
David Oppenheimer, Kalpesh Solanki,
Michael Zeleznik



U.S. Geological Survey



Caltech



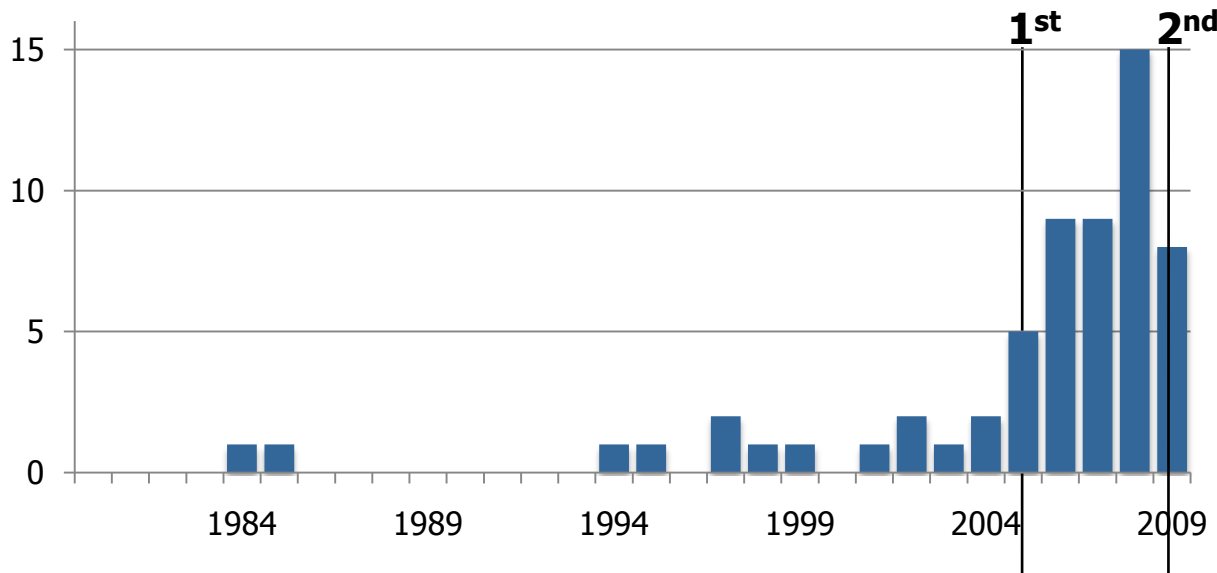
SCEC USC



Swiss Seismological Service

Accelerating early warning development

Number of early warning publications per year



2005: 1st International EEW conference, Caltech

2009: 2nd International EEW conference, Kyoto

Development projects

California: CISN statewide testing

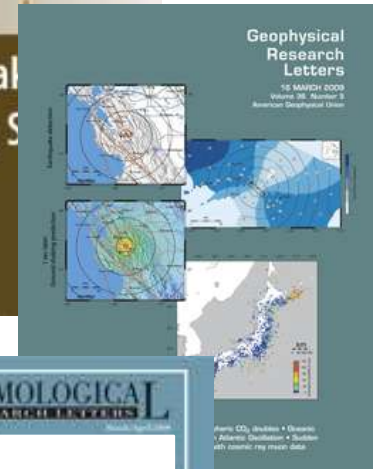
Europe: SAFER project

Japan: Implementation of public warning

2007 Springer



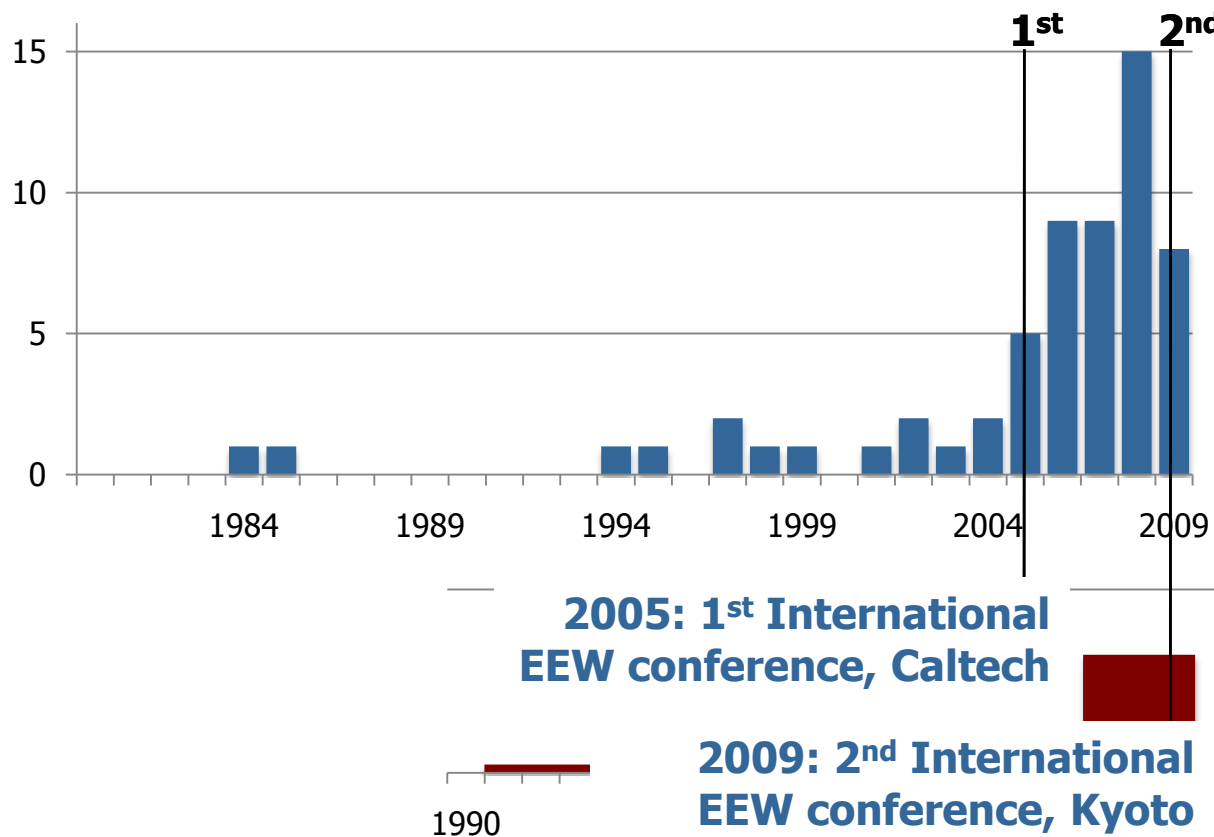
2009
Geophys
Res Lett



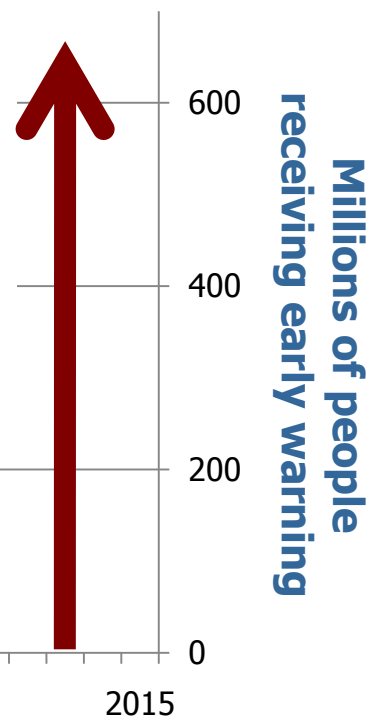
2009
Sep/Oct
Seismo
Res Lett

Accelerating early warning development

Number of early warning publications per year



700 mill: population of earthquake prone countries represented



2005: 1st International EEW conference, Caltech

2009: 2nd International EEW conference, Kyoto

Goal for the next meeting: **implementation**

Implementation in California?



April 9, 2009 – State Legislation (AB 298):

Statewide Alert System Integrated with High Speed Rail

Allocates funding from High Speed Rail bond funds to determine the economic loss and loss of life that could be prevented by an earthquake Early Warning System linked to the rail system.



Sam Blakeslee

California State Assemblyman | 33rd District

April 15, 2009

U.S. Interior Secretary Ken Salazar

\$29.4 mill to improve national and international earthquake monitoring system

To upgrade instrumentation and reduce telemetry delays



Goal for the next meeting: implementation



Earthquake early warning

warning *before*
ground shaking

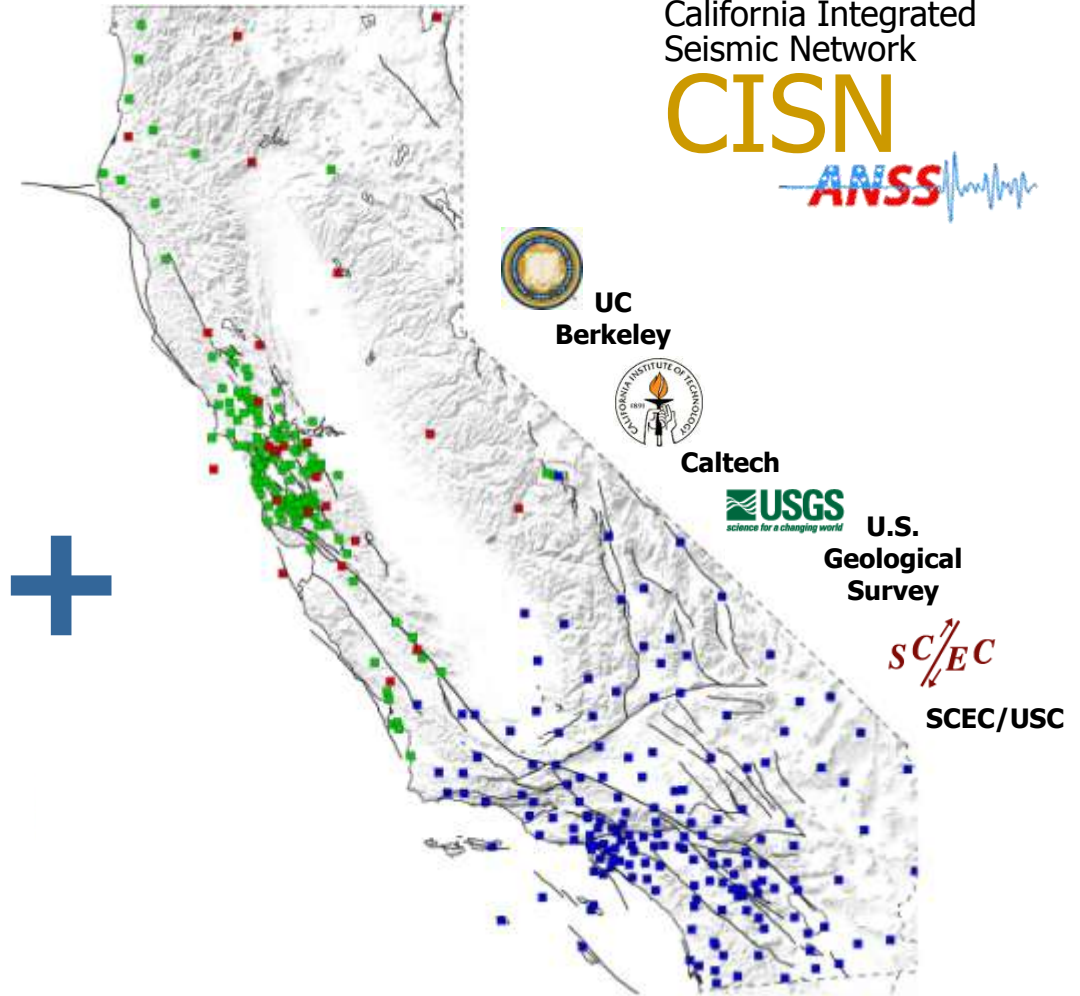
Statewide testing
of three methodologies

ElarmS (UC Berkeley)

Virtual Seismologist
(Caltech/ETH)

Onsite warning
(Caltech/National Taiwan
University)

funded by  **USGS**
science for a changing world



+

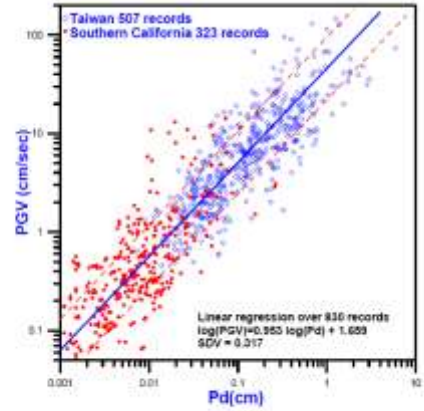
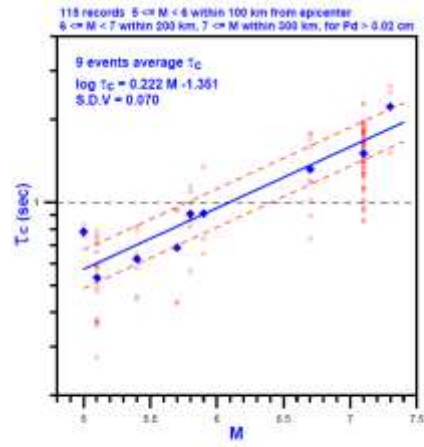
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**Real-time earthquake
early warning test**

Onsite method

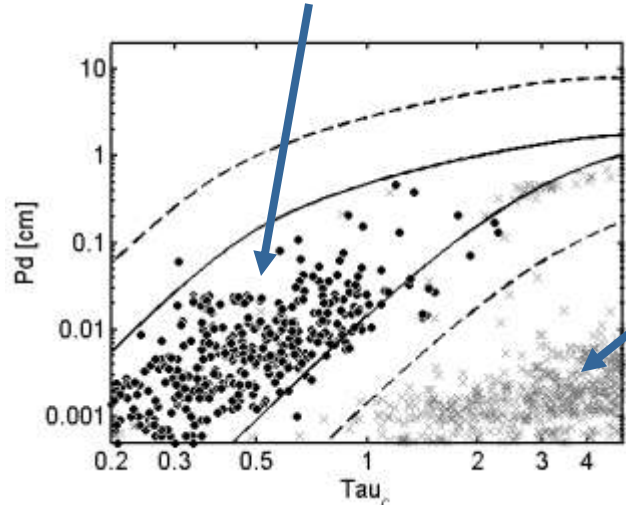
Caltech/National Taiwan University

- **Single station approach**
- Triggers on **P-waves**
- Alarms when both amplitude and period suggest large magnitude earthquake



Wu, Kanamori, and others

California earthquake
 $4.2 \leq M \leq 7.3$



Noise,
 Distant
 earthquakes
 Low S/N

Böse et al., sub. BSSA

Tuned tau-c-Pd Trigger Criterion

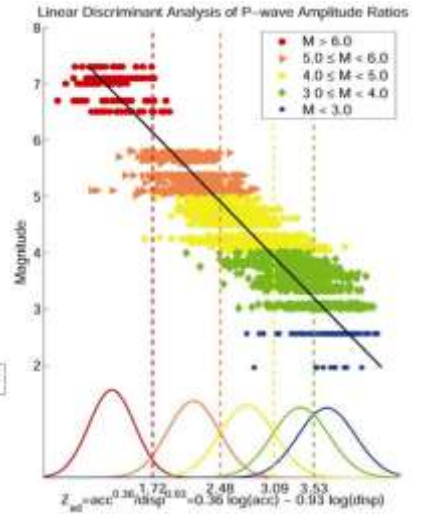
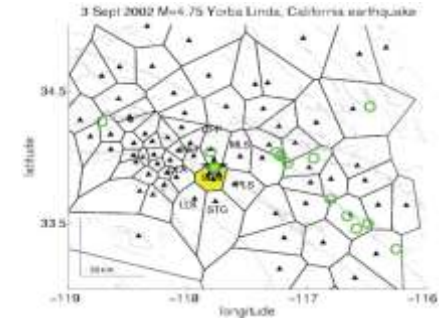
Started testing 2008

- M_w 5.4 July 29, 2008 successfully detected
- few false triggers

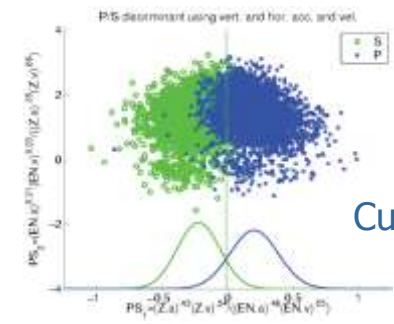
Virtual seismologist

ETH/Caltech

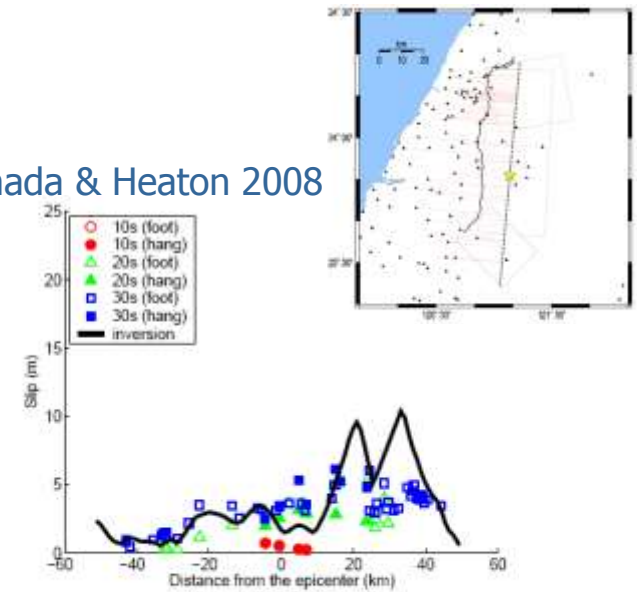
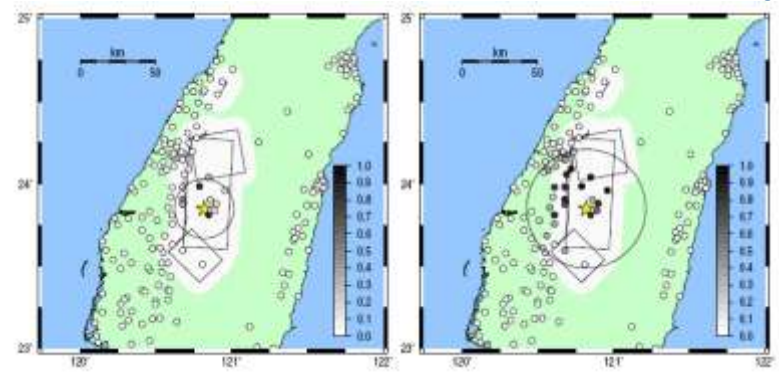
- Regional network approach
- Bayesian approach: Include likelihood of earthquake given past observations e.g. Gutenberg-Richter relation and distribution of previous seismicity
- Triggers on **P-waves**
- Uses envelope functions of waveforms
- Magnitude derived from 3 sec of the P-wave
- Predicts the distribution of ground shaking
- Finite fault discriminate



Cua & Heaton 2007

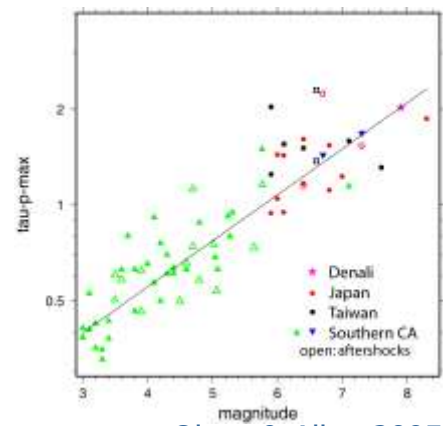
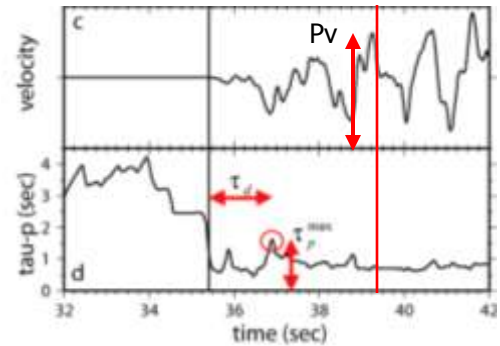


Yamada & Heaton 2008

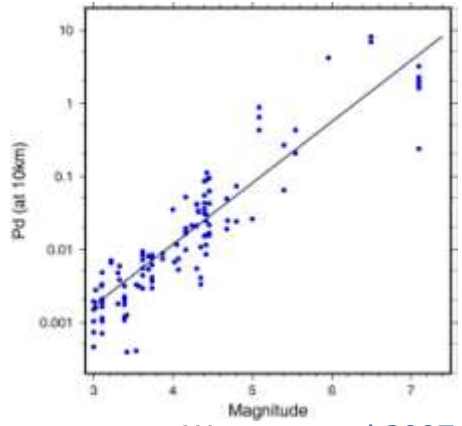




- **Regional network approach**
- Triggers on **P-waves**
- Uses arrival times, frequency and amplitude of P-wave. Uses PGA and PGV as they become available.
- Magnitude initially estimated 1 sec after P-wave trigger and updated
- Predicts the distribution of ground shaking using ShakeMap approach



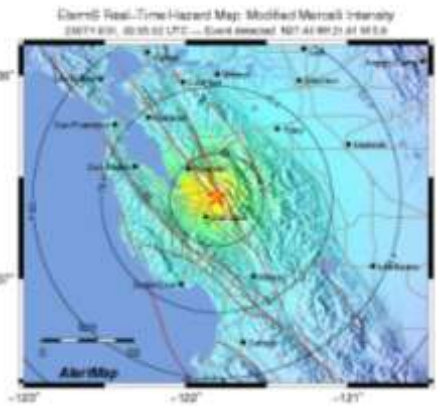
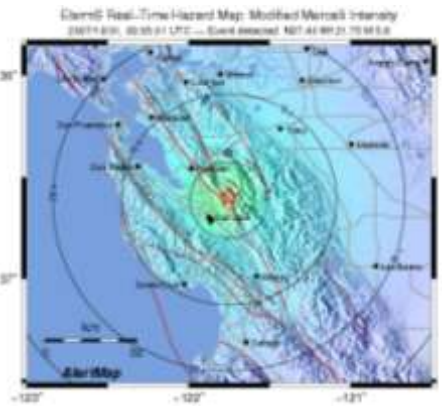
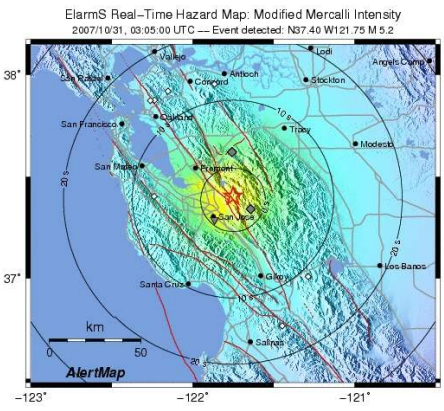
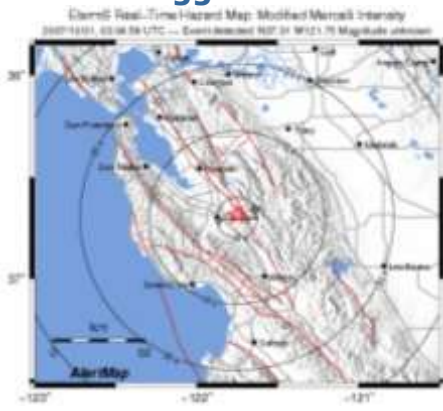
Olson & Allen 2005



Wurman et al 2007



AlertMaps
AlertMaps – *ElarmS-RT* – M_W 5.4 October 30, 2007
 trigger time



Testing center USC/SCEC

CISN EEW algorithm performance site:
<http://www.scec.org/eew>

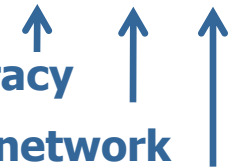
- Archives processing results
- Generates performance summaries
 - location, magnitude, shaking accuracy; timeliness

For all rows		ORIGIN TIMES - Observed vs. Forecast					MAGNITUDES - Observed vs. Forecast					Trigger Status rows		Source Info				
ANSS Event rows		Obs	Observed Origin		Valid range				Obs	Mag	Valid range			Time Diffs		Net	Event ID	
Trigger Status rows		Obs	Observed Origin		Fcst	Forecast Origin		Diff	Obs	Mag	Fcst	Mag	Diff	Alert	Algo	Trigger Source		
1	ANSS Event	Times	Obs	2008-11-15 17:50:41		[-10 to +30]			Mags	Obs	3.90	[-1.0 to +1.0]			Alert	Algo	NC	51211277
1	Correct Trigger	Times	Obs	2008-11-15 17:50:41		Fcst	2008-11-15 17:50:43		2	Mags	Obs	3.90	Fcst	3.04	-0.86	--	11	UCB-Elarms-NI
1	Correct Trigger	Times	Obs	2008-11-15 17:50:41		Fcst	2008-11-15 17:50:45		4	Mags	Obs	3.90	Fcst	3.16	-0.74	--	15	UCB-Elarms-NI
1	Correct Trigger	Times	Obs	2008-11-15 17:50:41		Fcst	2008-11-15 17:50:45		4	Mags	Obs	3.90	Fcst	3.16	-0.74	--	20	UCB-Elarms-NI
1	Correct Trigger	Times	Obs	2008-11-15 17:50:41		Fcst	2008-11-15 17:50:44		3	Mags	Obs	3.90	Fcst	3.16	-0.74	--	25	UCB-Elarms-NI
1	Correct Trigger	Times	Obs	2008-11-15 17:50:41		Fcst	2008-11-15 17:50:44		3	Mags	Obs	3.90	Fcst	3.16	-0.74	--	30	UCB-Elarms-NI
1	ANSS Event	Times	Obs	2008-11-15 17:12:55		[-10 to +30]			Mags	Obs	2.21	[-1.0 to +1.0]			Alert	Algo	NC	51211273
1	ANSS Event	Times	Obs	2008-11-15 16:44:57		[-10 to +30]			Mags	Obs	2.35	[-1.0 to +1.0]			Alert	Algo	NC	51211270
1	ANSS Event	Times	Obs	2008-11-15 13:20:52		[-10 to +30]			Mags	Obs	2.42	[-1.0 to +1.0]			Alert	Algo	CI	14403424
1	ANSS Event	Times	Obs	2008-11-15 12:36:28		[-10 to +30]			Mags	Obs	2.86	[-1.0 to +1.0]			Alert	Algo	NC	51211265
1	ANSS Event	Times	Obs	2008-11-15 09:52:51		[-10 to +30]			Mags	Obs	3.53	[-1.0 to +1.0]			Alert	Algo	CI	14403392
1	Correct Trigger	Times	Obs	2008-11-15 09:52:51		Fcst	2008-11-15 09:52:59		8	Mags	Obs	3.53	Fcst	4.19	0.66	16	8	CIT-OnSite
1	Correct Trigger	Times	Obs	2008-11-15 09:52:51		Fcst	2008-11-15 09:53:00		9	Mags	Obs	3.53	Fcst	3.49	-0.04	18	9	CIT-OnSite
1	Correct Trigger	Times	Obs	2008-11-15 09:52:51		Fcst	2008-11-15 09:53:10		19	Mags	Obs	3.53	Fcst	3.23	-0.30	26	19	CIT-OnSite
1	Correct Trigger	Times	Obs	2008-11-15 09:52:51		Fcst	2008-11-15 09:52:52		1	Mags	Obs	3.53	Fcst	3.58	0.05	21	--	ETH-VS-RT
1	Correct Trigger	Times	Obs	2008-11-15 09:52:51		Fcst	2008-11-15 09:52:51		0	Mags	Obs	3.53	Fcst	3.62	0.09	23	--	ETH-VS-RT
1	Correct Trigger	Times	Obs	2008-11-15 09:52:51		Fcst	2008-11-15 09:52:51		0	Mags	Obs	3.53	Fcst	3.63	0.10	26	--	ETH-VS-RT
1	Correct Trigger	Times	Obs	2008-11-15 09:52:51		Fcst	2008-11-15 09:52:51		0	Mags	Obs	3.53	Fcst	3.64	0.11	30	--	ETH-VS-RT
1	Correct Trigger	Times	Obs	2008-11-15 09:52:51		Fcst	2008-11-15 09:52:52		1	Mags	Obs	3.53	Fcst	3.63	0.10	35	--	ETH-VS-RT

magnitude accuracy

warning delay with current CISN network

theoretical warning delay with no telemetry or processing delays



Approaching 3 years of testing

Phase I: Development and testing of realtime algorithms **Parallel testing of multiple methodologies**

Talks

- Maren Böes – EEW development at Caltech (including Onsite)
- Georgia Cua – Virtual Seismologist in California
- Jim Goltz – Societal and public policy issues
- Tom Heaton – Finite sources

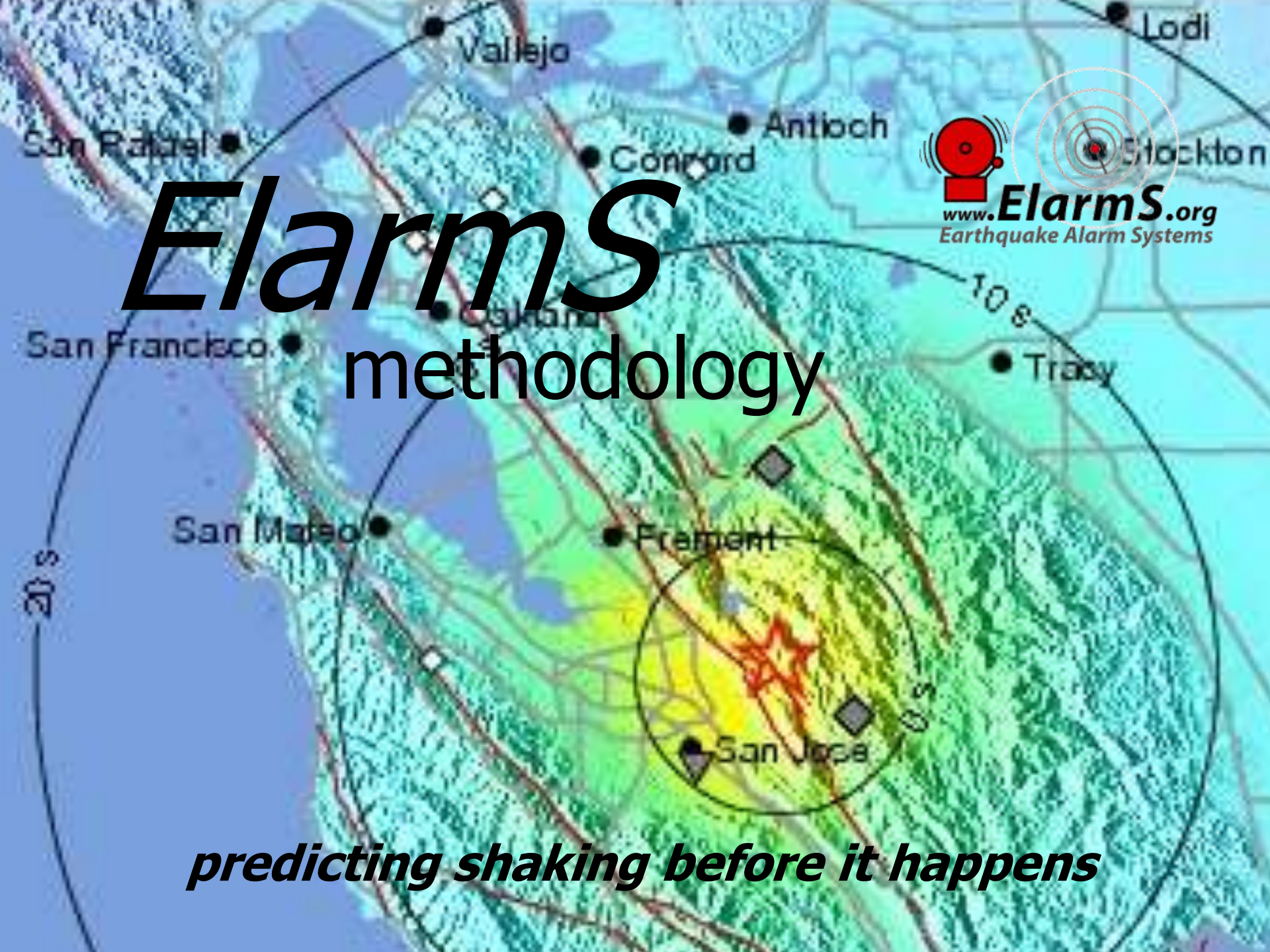
Posters

- Holly Brown – Testing ElarmS in Japan
- Phil Maechling – Time measurement models for EEW
- Kalpesh Solanki – EEW implementation at Caltech

and now...

Development and performance of ElarmS in California

Phase II: Implementing a prototype warning system **A single CISN early warning output to a group of test users**



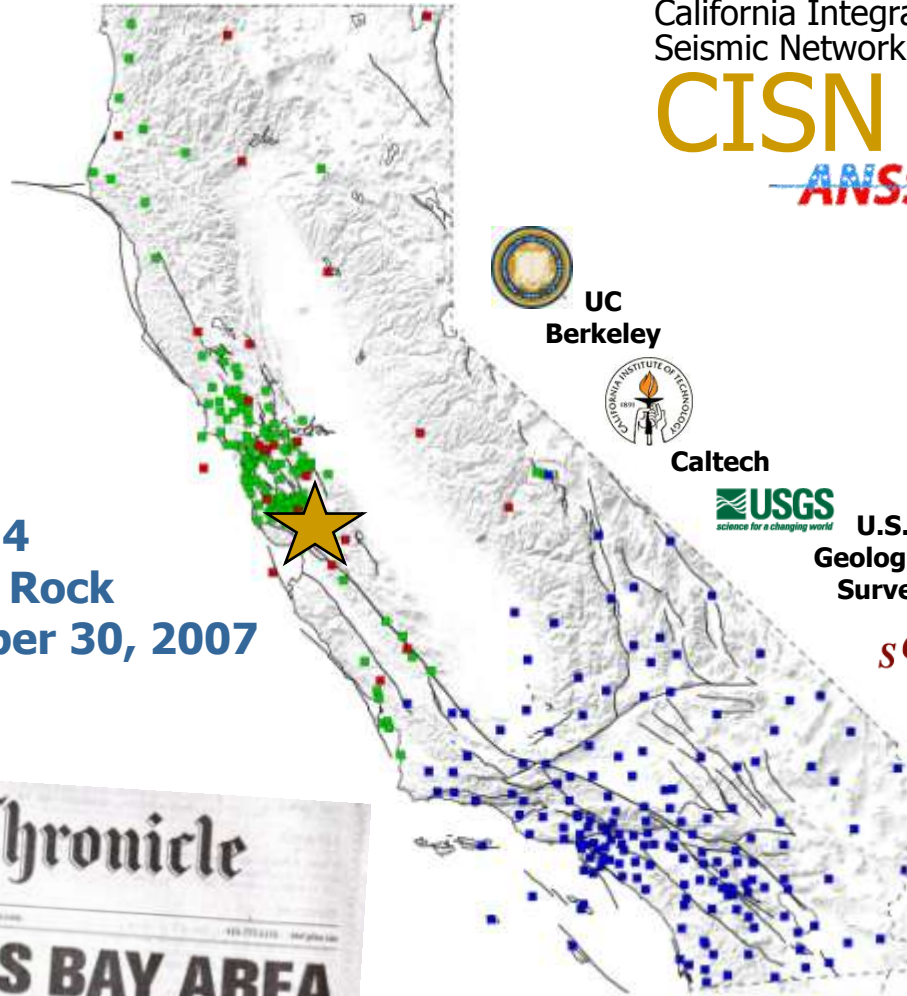
Elarms methodology

predicting shaking before it happens

Alum Rock Earthquake



**M_w 5.4
Alum Rock
October 30, 2007**



California Integrated Seismic Network

CISN



UC Berkeley



Caltech



U.S. Geological Survey

SC/EC

SCEC/USC



ElarmS methodology

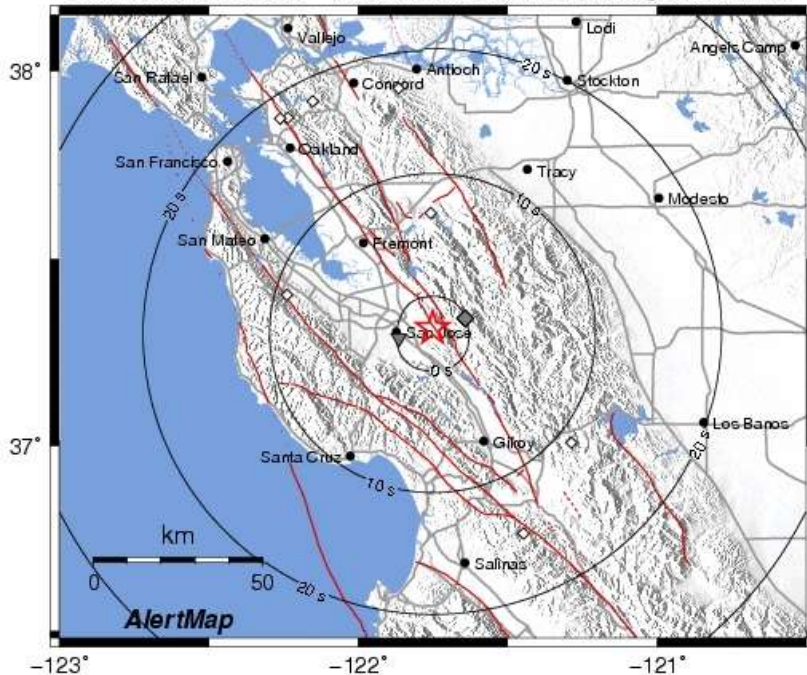
Goal: predict peak ground shaking before onset

1. Determine earthquake location

Using P-wave arrival times

2-station location

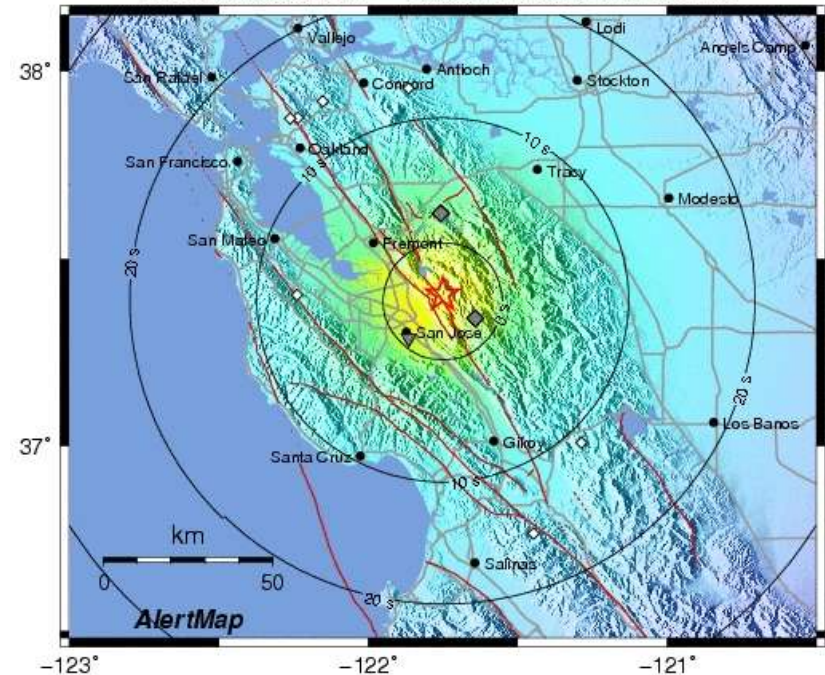
ElarmS Real-Time Hazard Map: Modified Mercalli Intensity
2007/10/31, 03:04:59 UTC — Event detected: N37.31 W121.75 Magnitude unknown



detection

3-station location

ElarmS Real-Time Hazard Map: Modified Mercalli Intensity
2007/10/31, 03:05:00 UTC — Event detected: N37.40 W121.75 M 5.2



detection + 1 sec

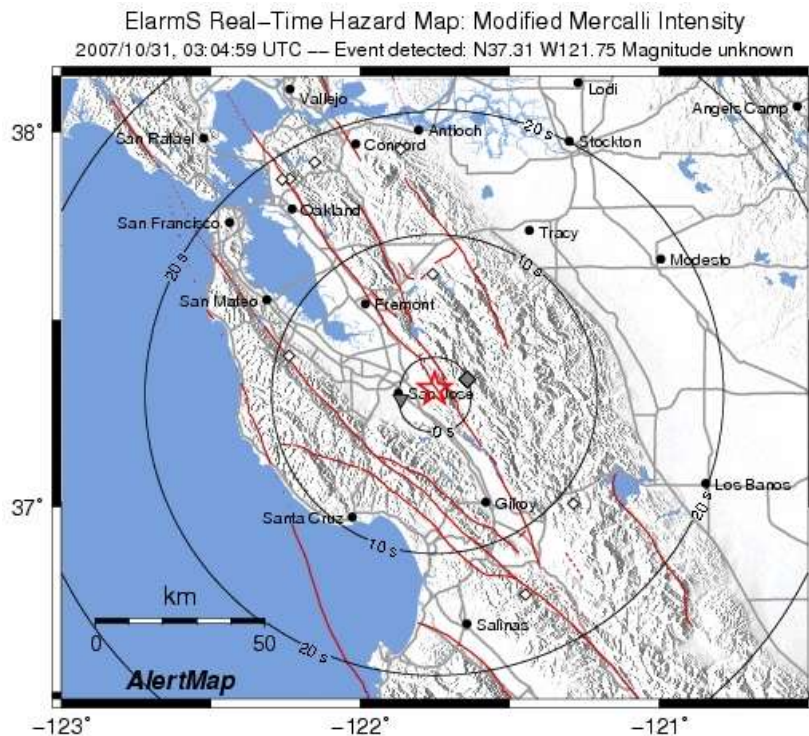
ElarmS methodology

Goal: predict peak ground shaking before onset

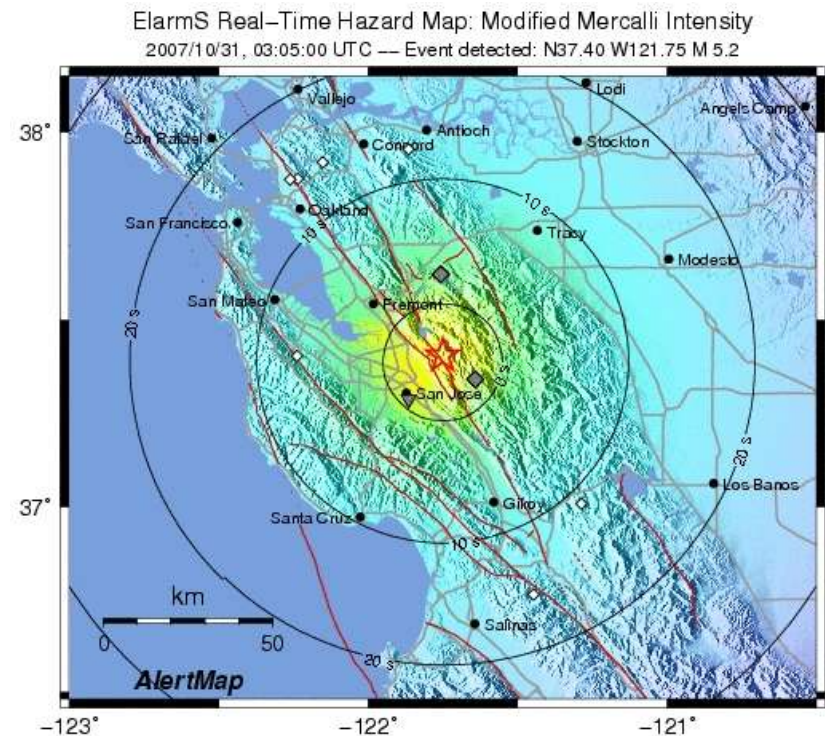
1. Determine earthquake location

2. Estimate warning time

Based on expected time of peak shaking



detection



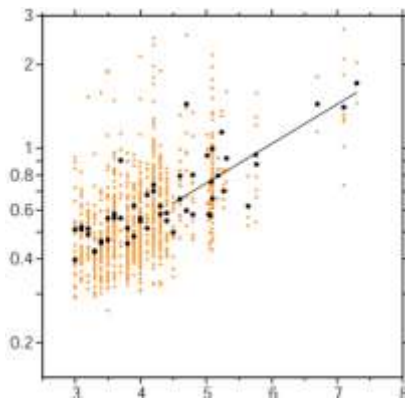
detection + 1 sec

ElarmS methodology

Goal: predict peak ground shaking before onset

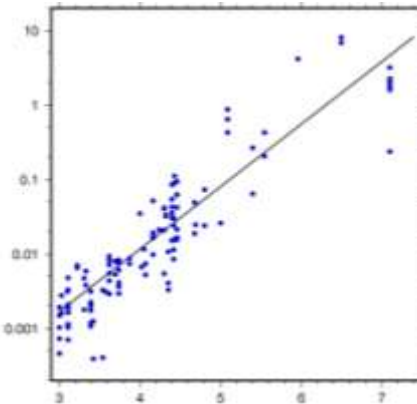
1. Determine earthquake location
2. Estimate warning time
3. Estimate magnitude

From the *frequency content and amplitude* of the P-wave



Peak displacement
Wu & Kanamori, 2005

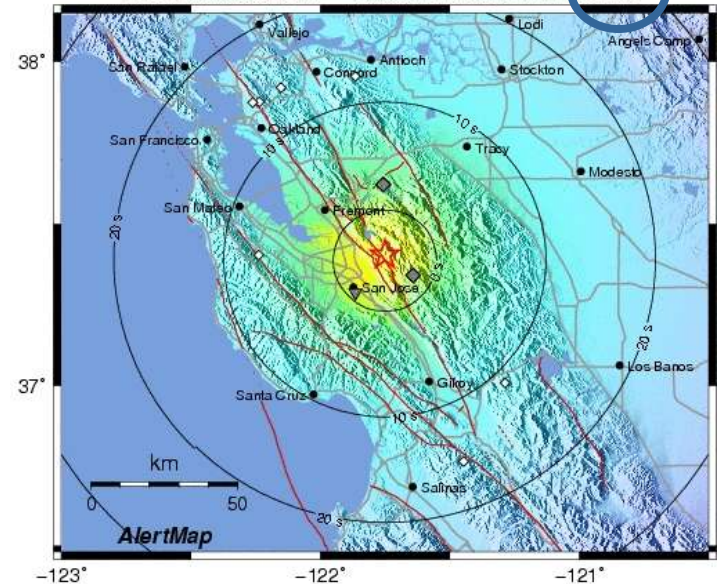
Predominant period
Nakamura, 1988



CISN: M_w 5.4

M5.2

ElarmS Real-Time Hazard Map: Modified Mercalli intensity
2007/10/31, 03:05:00 UTC — Event detected: N37.40 W121.75 M 5.2



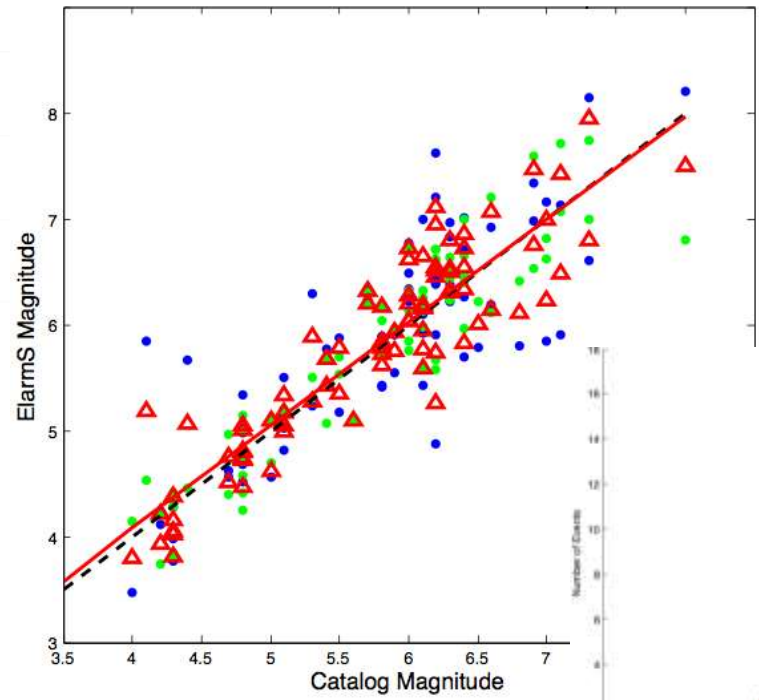
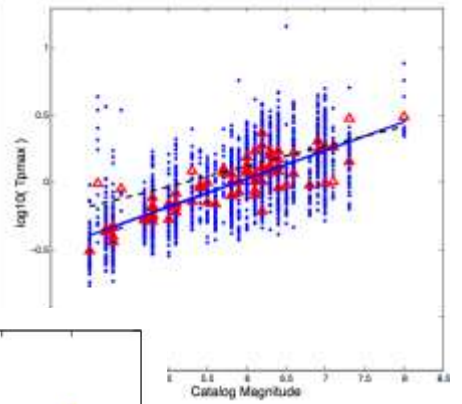
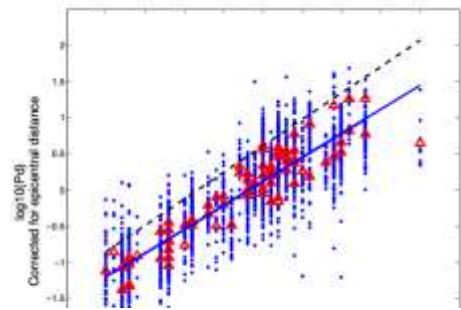
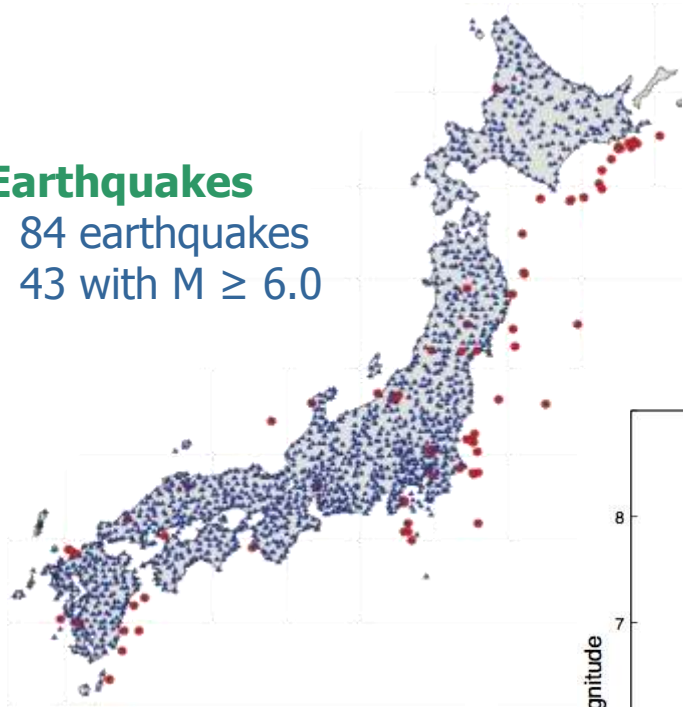
detection + 1 sec

ElarmS magnitude estimation for large earthquakes

...SEE
HOLLY BROWN'S POSTER
"ELARMS IN JAPAN"

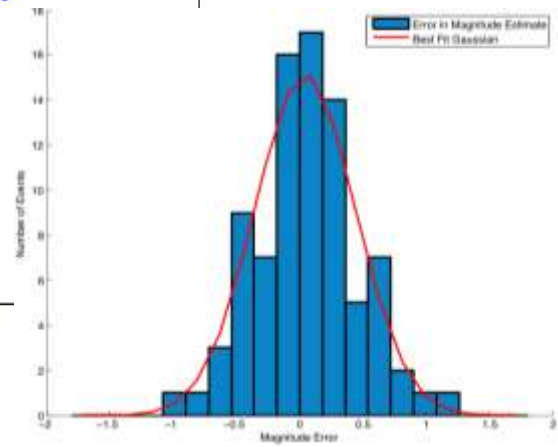
Earthquakes

- 84 earthquakes
- 43 with $M \geq 6.0$



Magnitude errors

- All events 0.0 ± 0.4
- $M \geq 6.0$ 0.0 ± 0.5
- $M \geq 7.0$ -0.2 ± 0.5



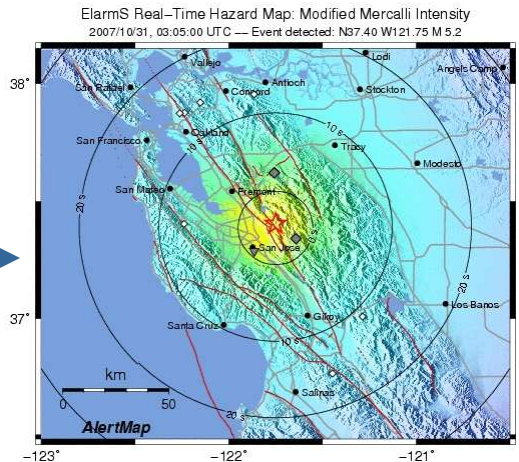
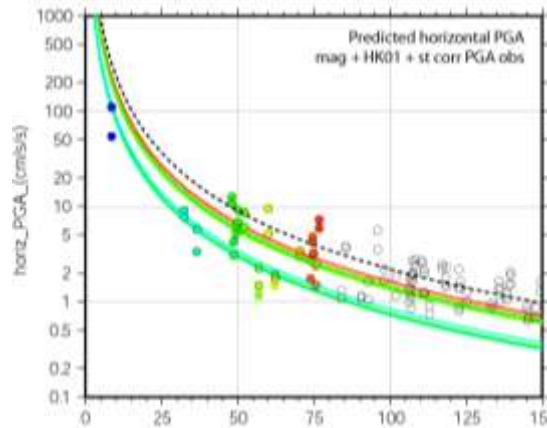
ElarmS methodology

Goal: predict peak ground shaking before onset

1. Determine earthquake location
2. Estimate warning time
3. Estimate magnitude
4. Calculate shaking intensity

Attenuation relations: Require magnitude, distance and site corrections

magnitude defines ground shaking as a function of distance
+ fit to peak shaking observations
+ correct for site effects



this is what **ShakeMap** does

developed by Dave Wald and many others

...use a modified version of ShakeMap to produce an **AlertMap**

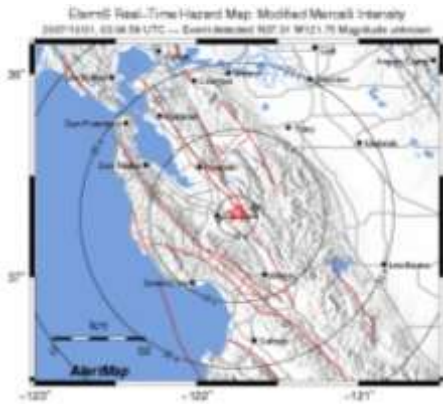
ElarmS methodology

Goal: predict peak ground shaking before onset

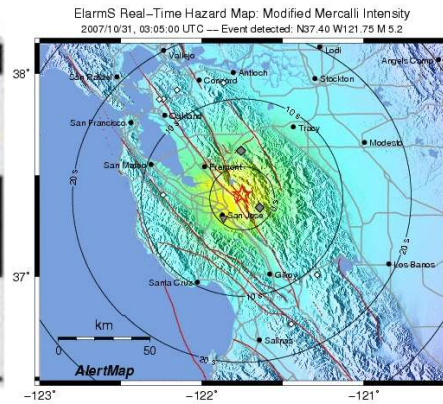
1. Determine earthquake location
2. Estimate warning time
3. Estimate magnitude
4. Calculate shaking intensity
5. System updates prediction every second



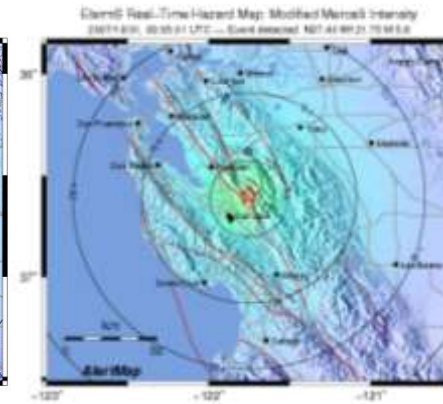
detection



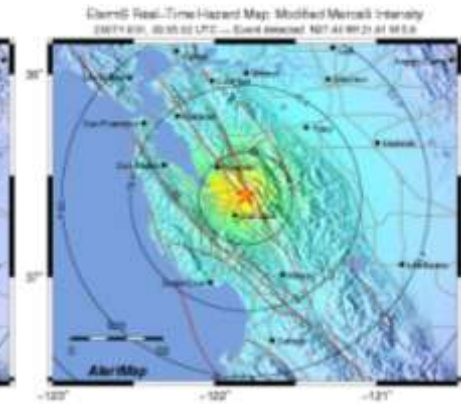
+ 1 sec



+ 2 sec



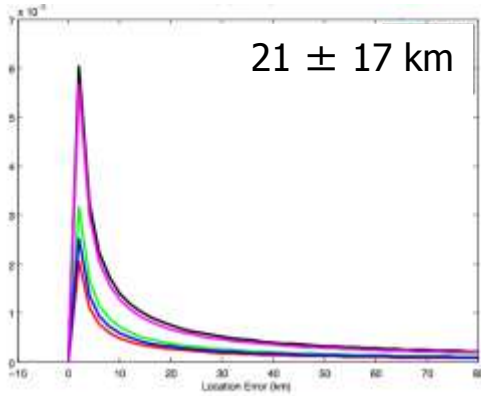
+ 3 sec



with time the **AlertMap** evolves into a **ShakeMap**

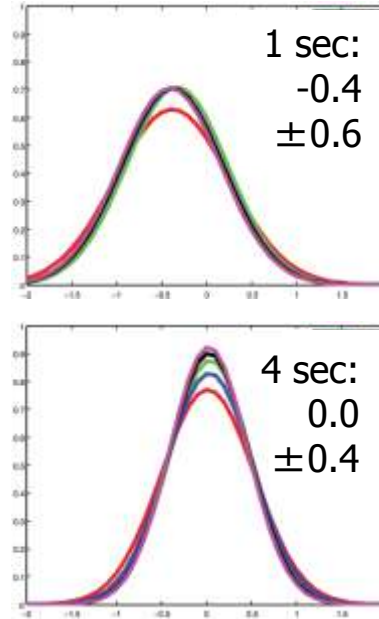
ElarmS error estimation

**...SEE
HOLLY BROWN'S POSTER
"ELARMS IN JAPAN"**



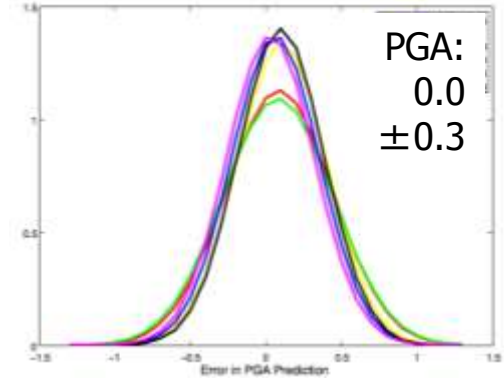
Location error
function of number of
trigger times

+



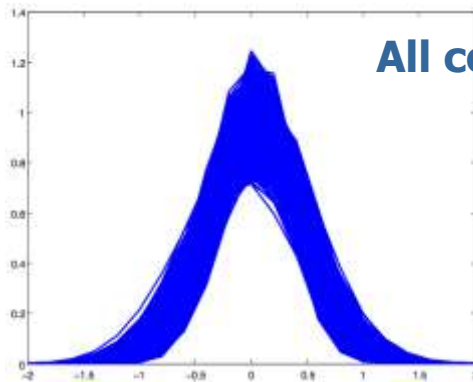
Magnitude error
function of number of
trigger and time

+



Attenuation relation
function of number of PGA,
PGV observations

=



factor of 2 error = 0.7

All combinations: numbers of triggers, magnitudes,
peak ground shaking observations (up to five).

mean -0.2 to 0.2, st dev 0.3 to 0.6

no mag error: mean 0.0 to 0.2, st dev 0.3 to 0.5

no loc error: mean -0.1 to 0.2, st dev 0.3 to 0.5

no att error: mean -0.3 to 0.3, st dev 0.2 to 0.4

A map of California showing major cities and a seismic hazard detection system. A red starburst is centered near San Jose, indicating a detected seismic event. The map includes labels for Vallejo, Lodi, San Rafael, Antioch, Concord, Stockton, San Francisco, Oakland, Tracy, San Mateo, Fremont, and San Jose. A circular boundary is drawn around the San Jose area, and a larger circular boundary is drawn around the entire state. The text 'ElarmS-RT' is overlaid on the map in a large, bold, black font.

ElarmS-RT

realtime hazard detection
across California

predicting shaking before it happens

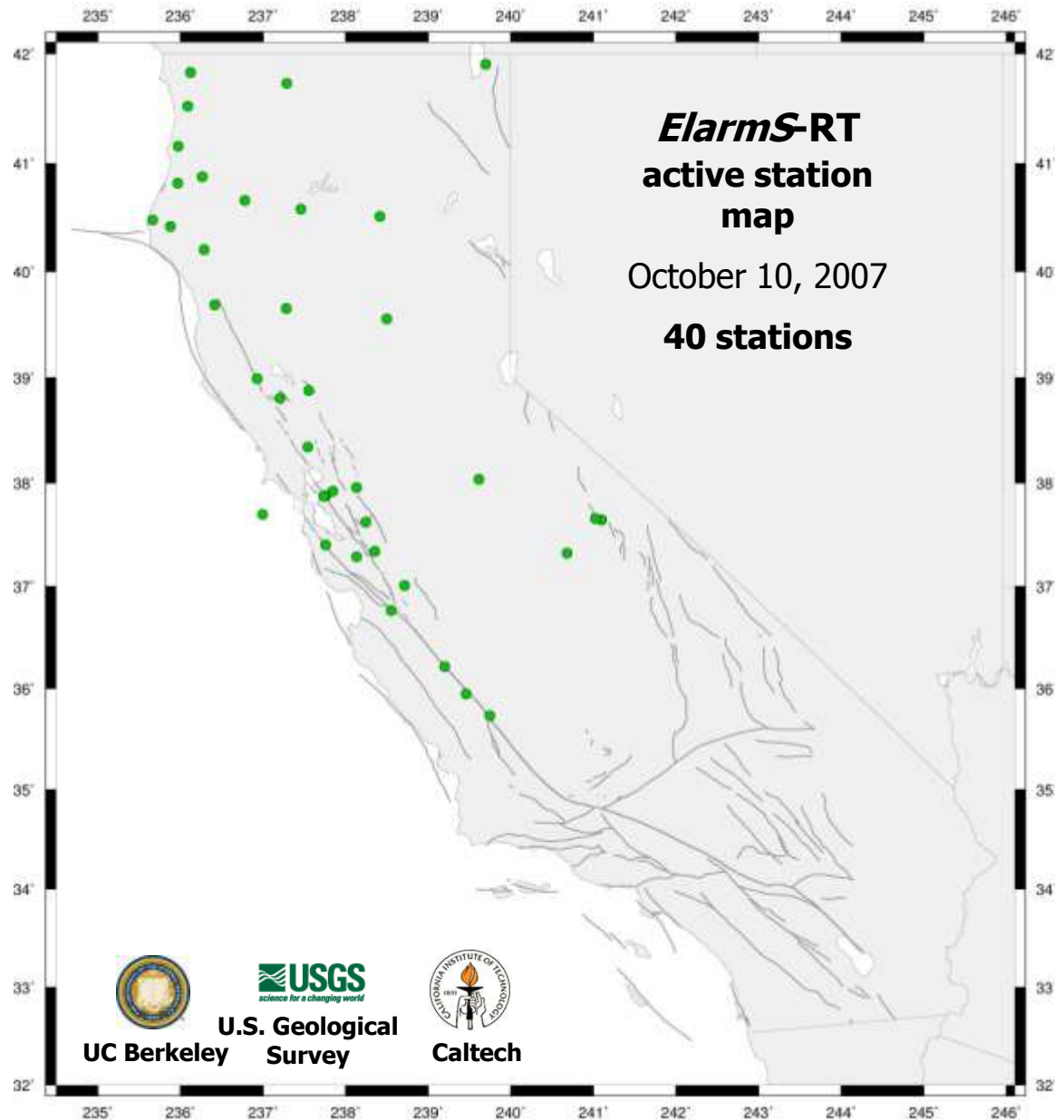
ElarmS-RT

Realtime processing

Adding networks to the *ElarmS* data flow

October 10, 2007

started realtime testing
BK + some NC



ElarmS-RT

Realtime processing

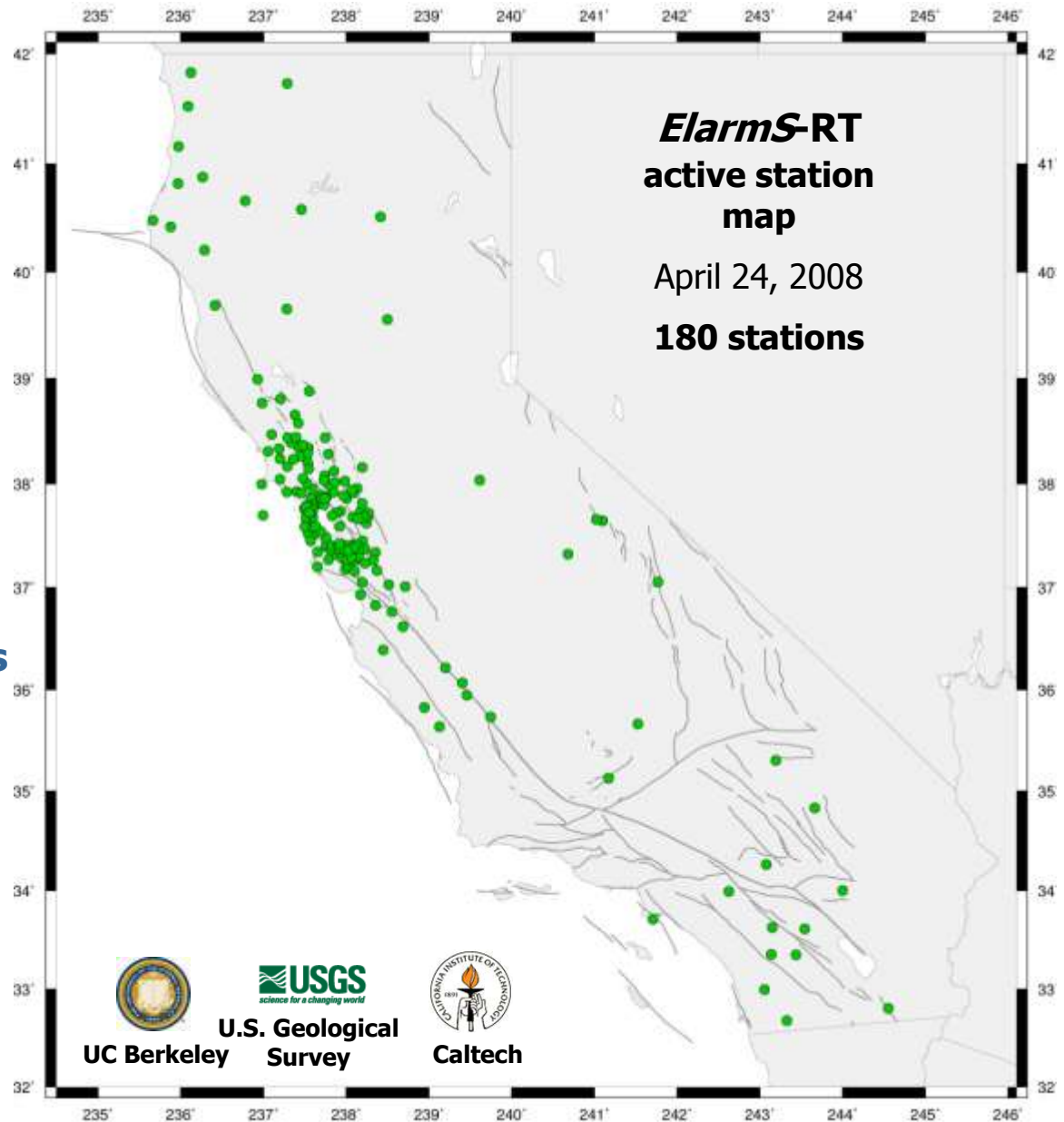
Adding networks to the *ElarmS* data flow

October 10, 2007

started realtime testing
BK + some NC

April 24, 2008

all northern CA stations
few southern CA stations
BK + NC + NP + some CI



ElarmS-RT

Realtime processing

Adding networks to the *ElarmS* data flow

October 10, 2007

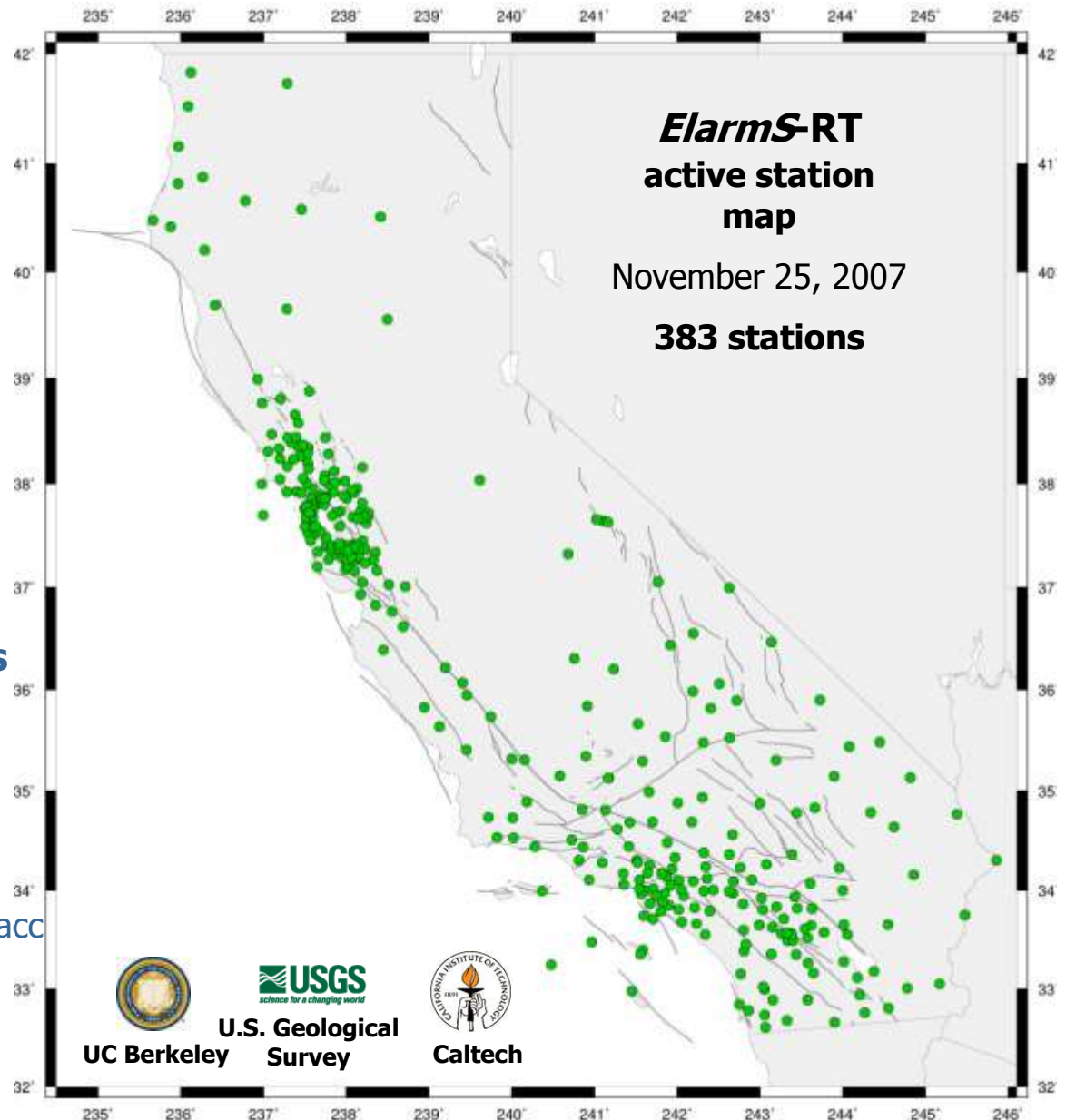
started realtime testing
BK + some NC

April 24, 2008

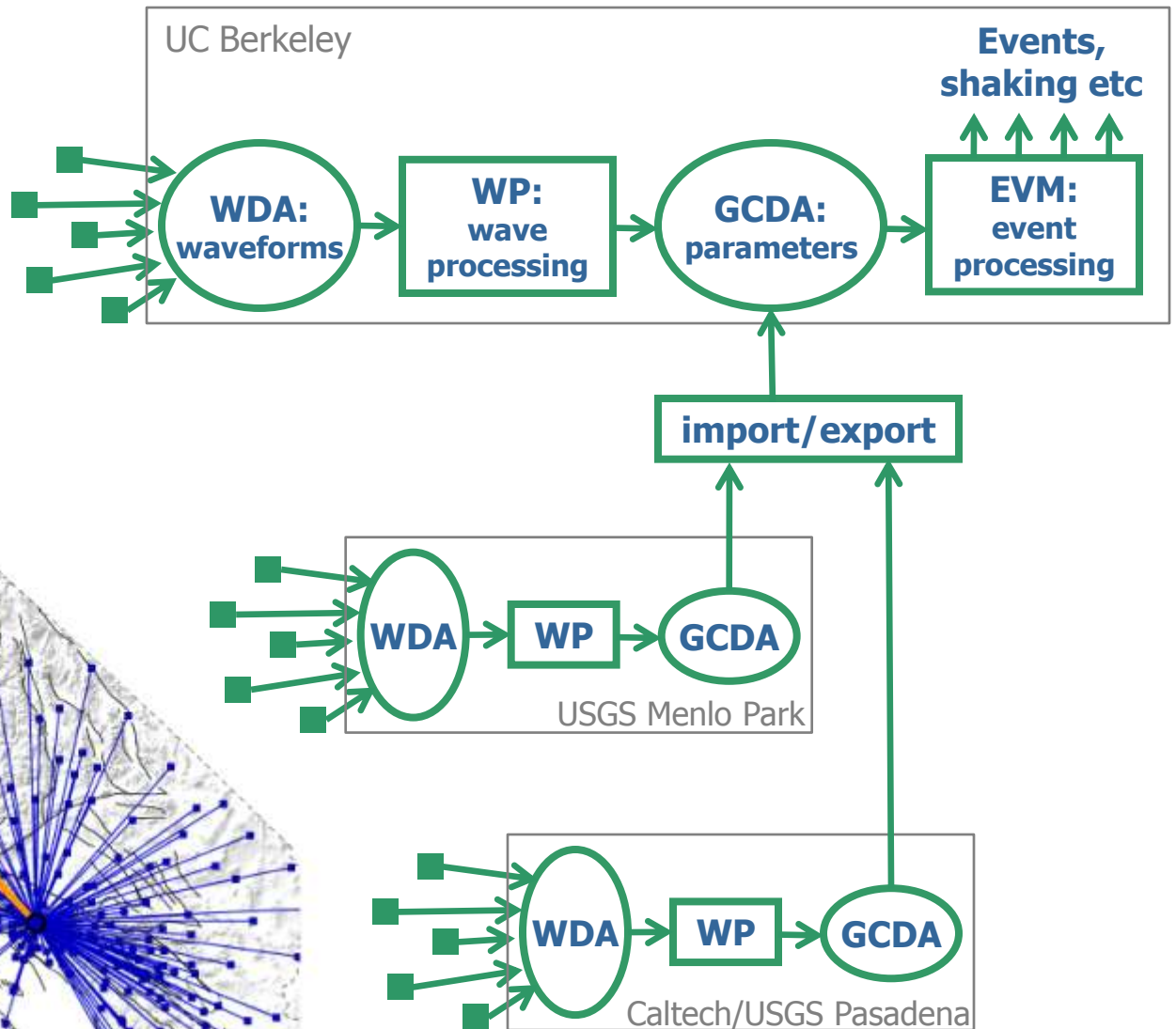
all northern CA stations
few southern CA stations
BK + NC + NP + some CI

November 25, 2008

all CA stations
BK + NC + NP + CI + some AZ
all continuous broadband vel + acc

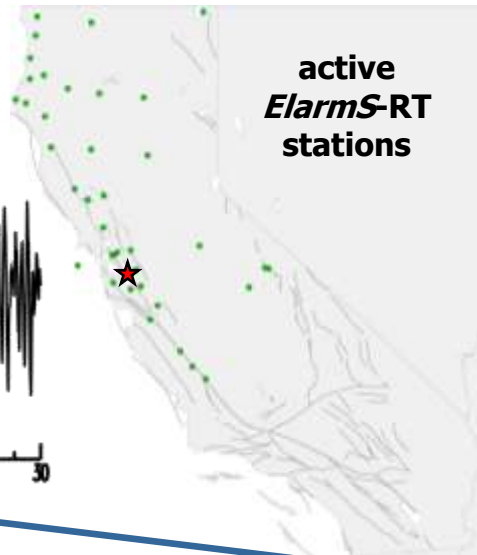
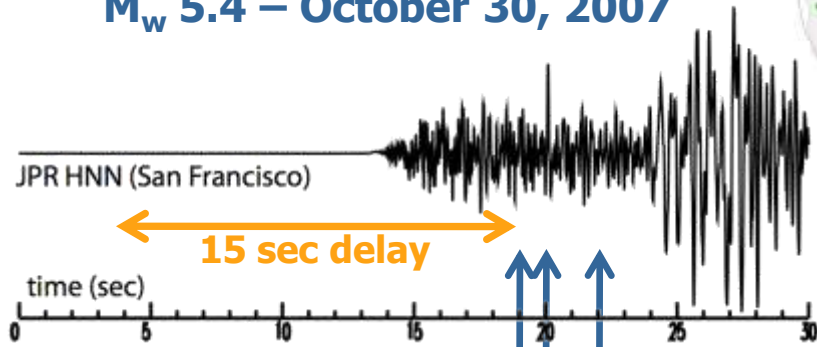


ElarmS statewide realtime Data processing



ElarmS-RT Alum Rock

M_w 5.4 – October 30, 2007

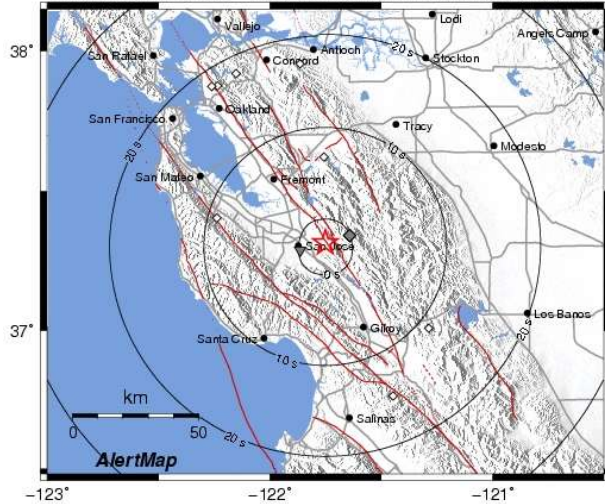


First detection (2 stations)
origin + **19 sec**
magnitude: **n/a**
loc error: **13 km**

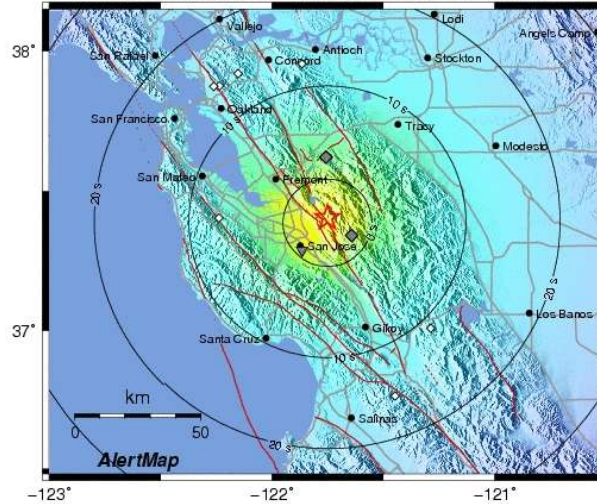
3rd station detection
origin + **20 sec**
magnitude: **5.2**
loc error: **4 km**

4th station detection
origin + **22 sec**
magnitude: **5.9**
loc error: **4 km**

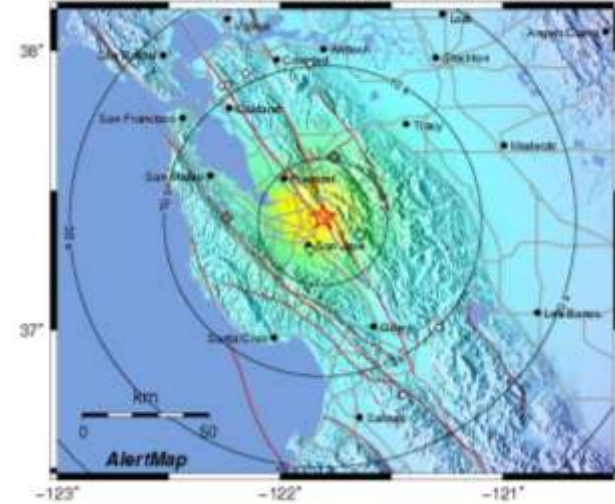
ElarmS Real-Time Hazard Map: Modified Mercalli Intensity
2007/10/31, 03:04:59 UTC — Event detected: N37.31 W121.75 Magnitude unknown



ElarmS Real-Time Hazard Map: Modified Mercalli Intensity
2007/10/31, 03:05:00 UTC — Event detected: N37.40 W121.75 M 5.2



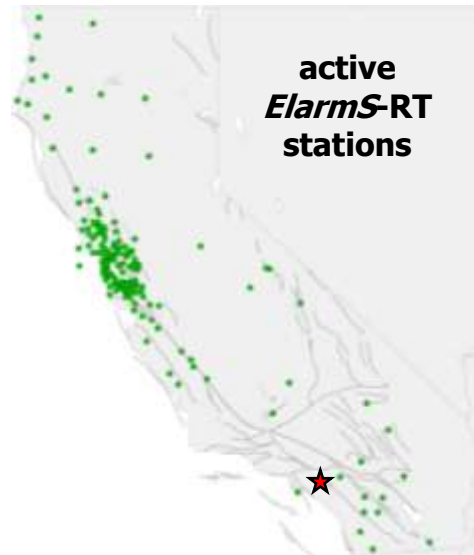
ElarmS Real-Time Hazard Map: Modified Mercalli Intensity
2007/10/31, 03:05:02 UTC — Event detected: N37.40 W121.81 M 5.9



ElarmS-RT Chino Hills

M_w 5.4 – July 29, 2008

- Only 15 stations in southern CA, 3 within 100 km
- Communication bug introduced a 23 sec delay
- [Inserted 15 sec processing delay]

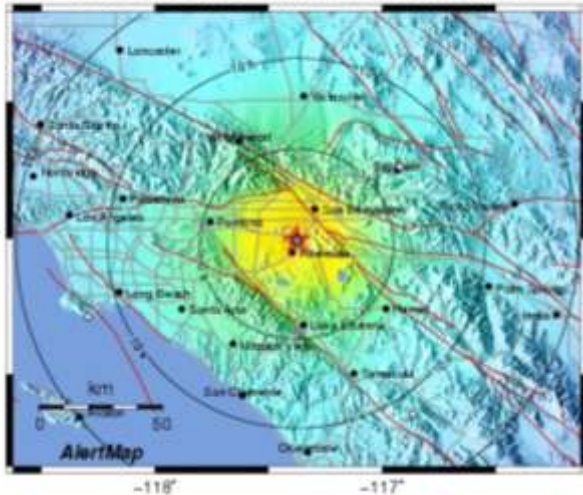


First detection
origin + **31 sec**
magnitude: **5.4**
loc error: **36 km**

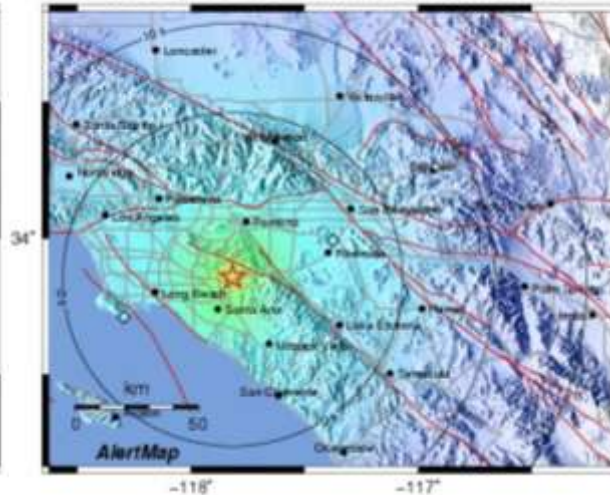
2nd station detection
origin + **34 sec**
magnitude: **5.8**
loc error: **12 km**

3rd station detection
origin + **40 sec**
magnitude: **5.5**
loc error: **6 km**

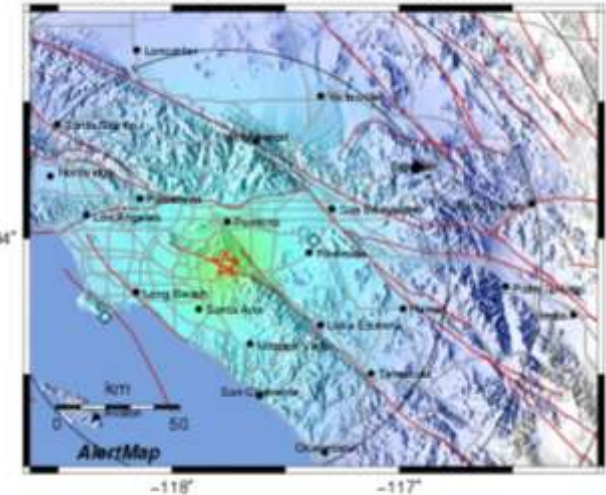
ElarmS Real-Time Hazard Map: Modified Mercalli Intensity
2008/07/29, 18:42:54 UTC — Event detected: N33.99 W117.76 M 5.4



ElarmS Real-Time Hazard Map: Modified Mercalli Intensity
2008/07/29, 18:42:57 UTC — Event detected: N33.88 W117.82 M 5.8



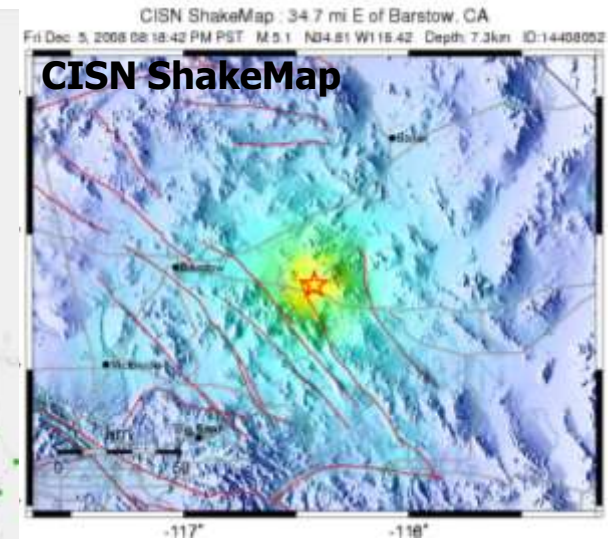
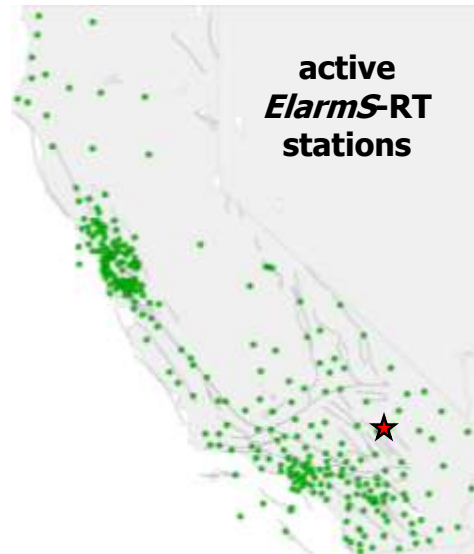
ElarmS Real-Time Hazard Map: Modified Mercalli Intensity
2008/07/29, 18:43:03 UTC — Event detected: N33.99 W117.76 M 5.5



ElarmS-RT Ludlow

M_w 5.1 – December 6, 2008

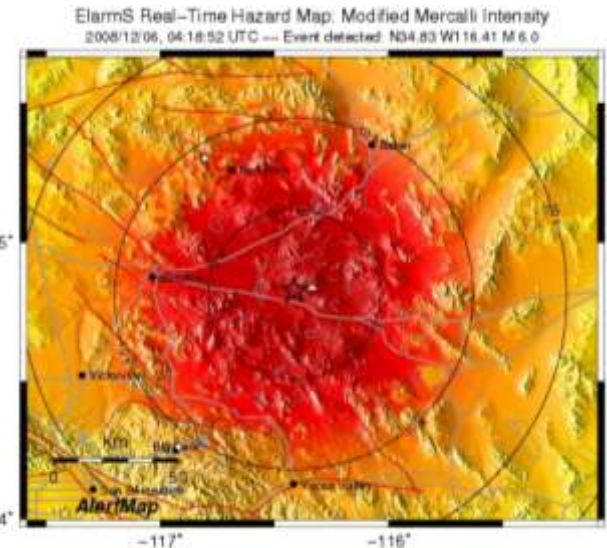
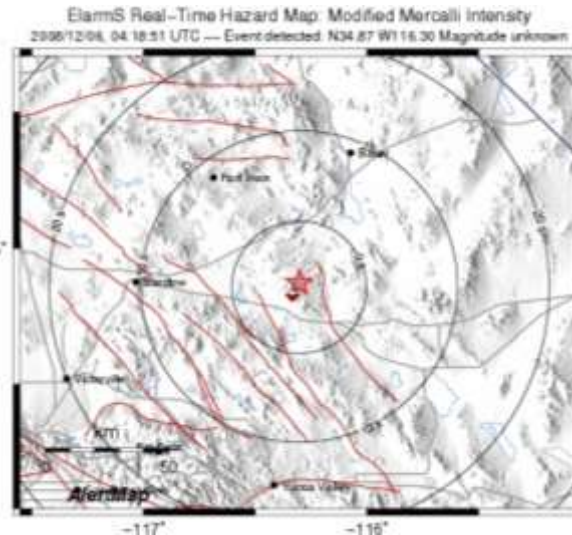
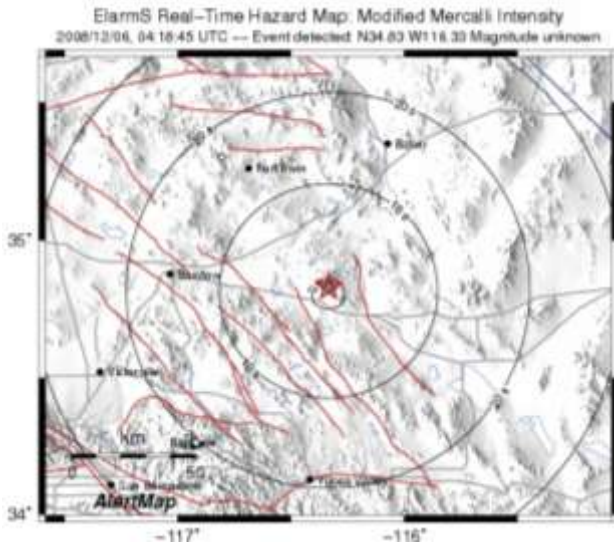
- Southern CA stations operational for 11 days
- Units error: cm/s vs. m/s!
- Inserted 15 sec processing delay



First detection
origin + **18 sec**
magnitude: **n/a**

2nd station detection
origin + **24 sec**
magnitude: **n/a**

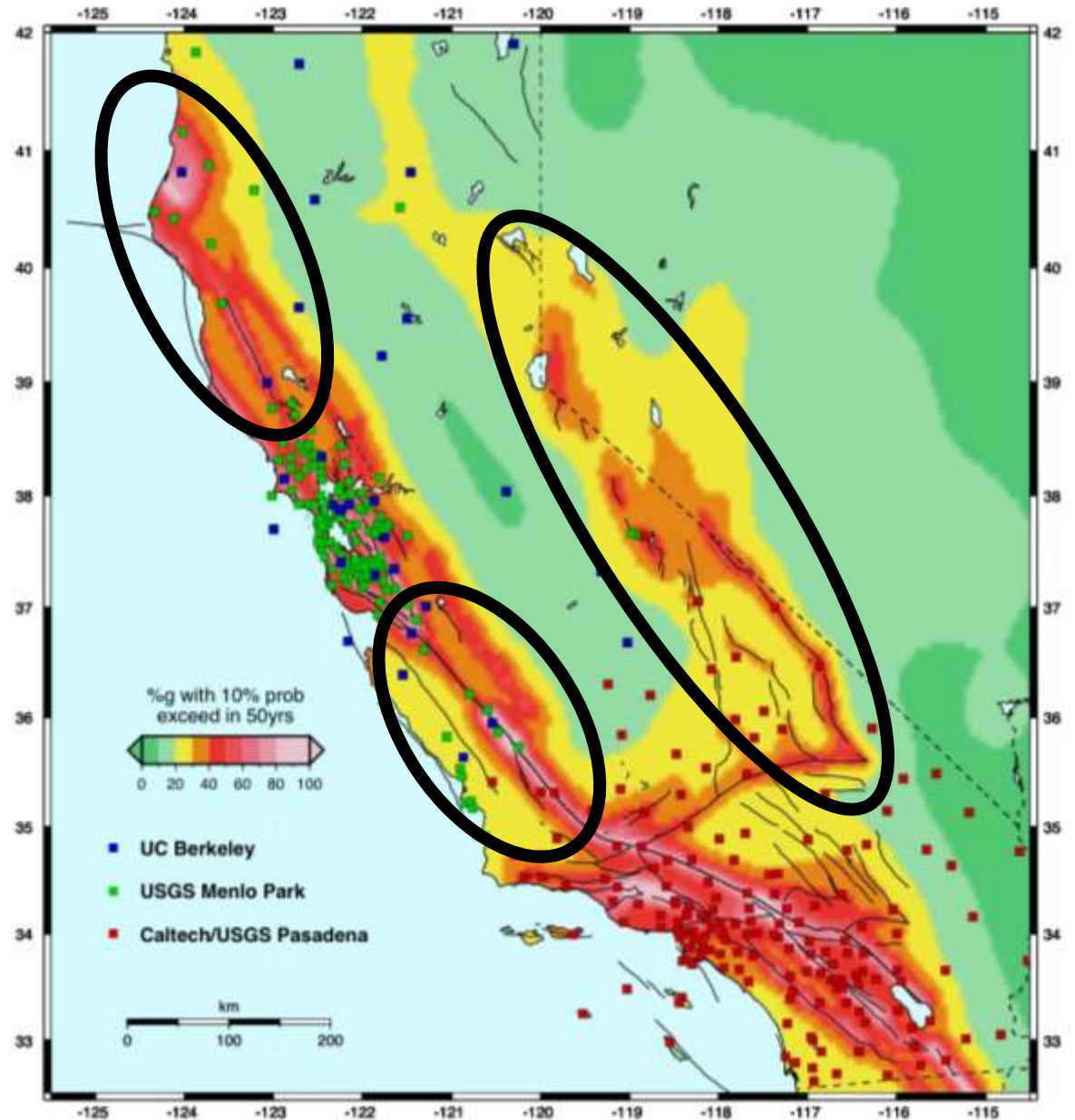
3rd station detection
origin + **25 sec**
magnitude: **6.0**



Station distribution

384 station sites
603 sensors
222 velocity
381 accelerometers

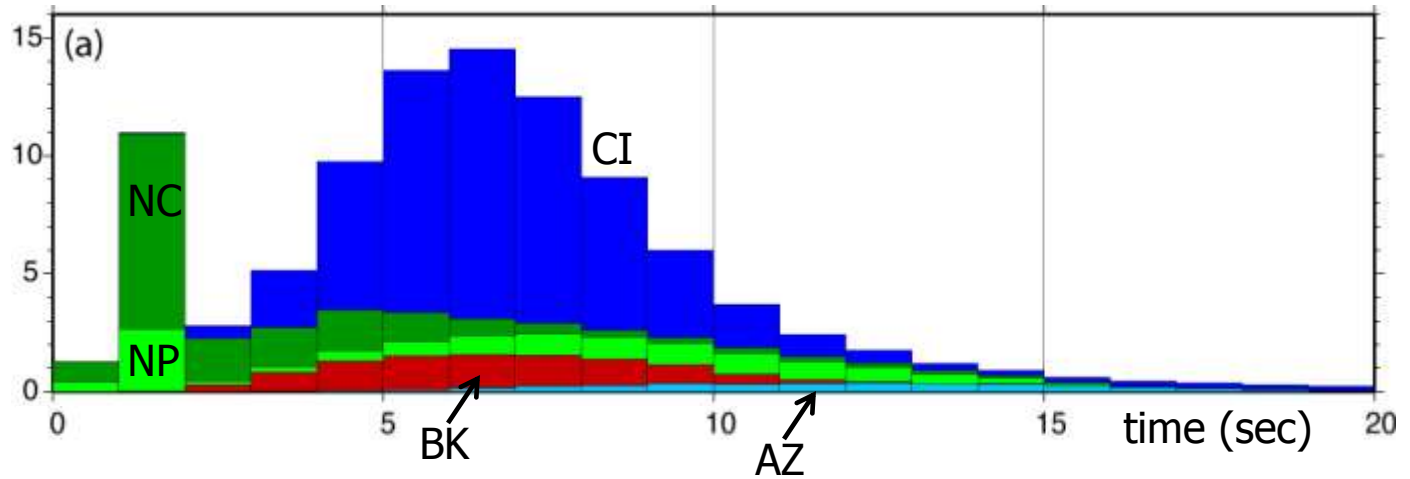
**Big
Instrumentation
Gaps**



ElarmS-RT System latency

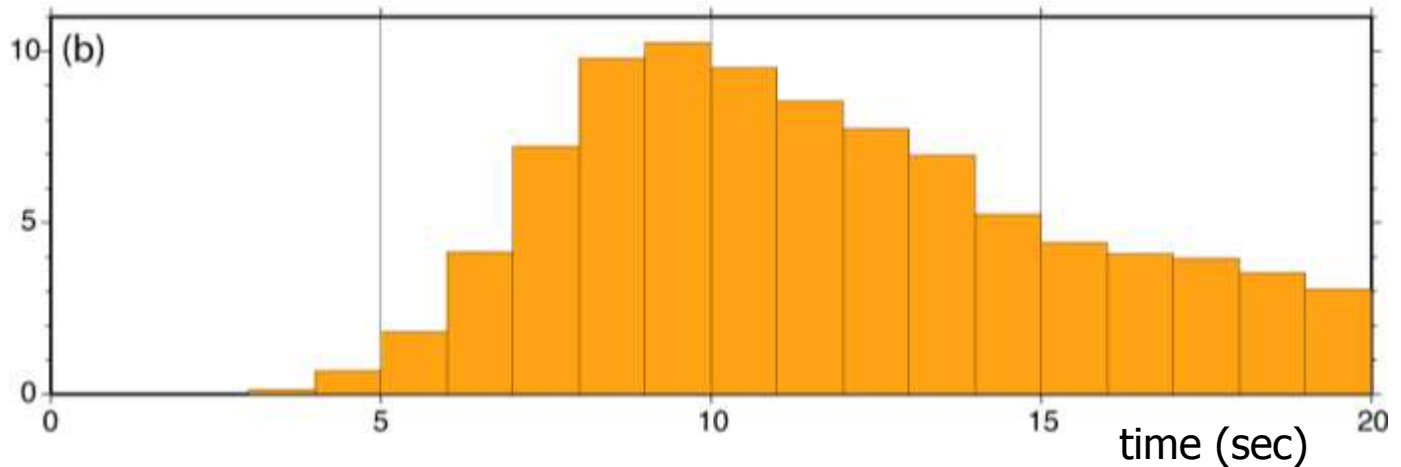
Data packetization and telemetry

median:
6.5 sec



ElarmS-RT latency: Seismometer to warning (on ElarmS computers)

median:
11.8 sec



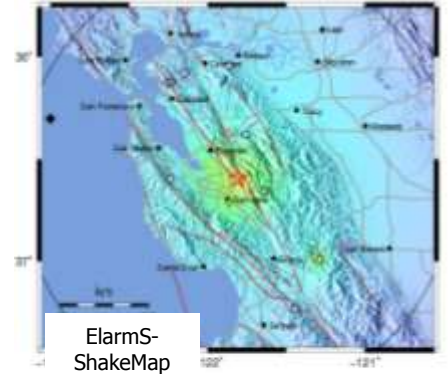
ElarmS-RT Product line

Eq-Alert

what is the criteria?
when do we update?

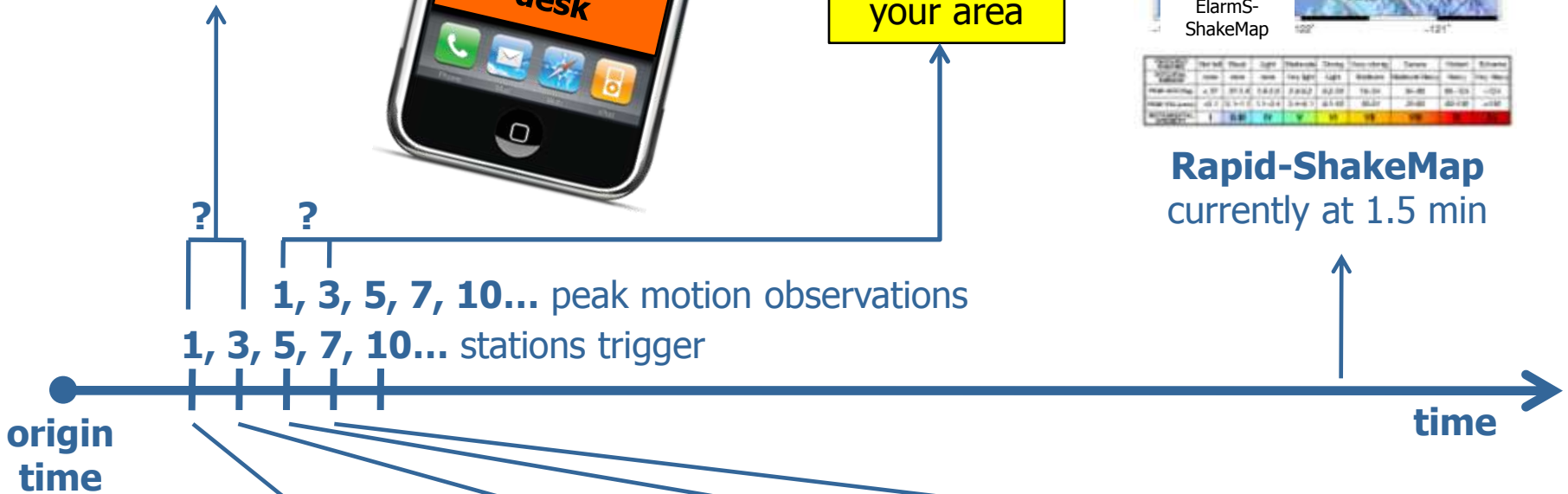


Strong motion alert
10%g in your area

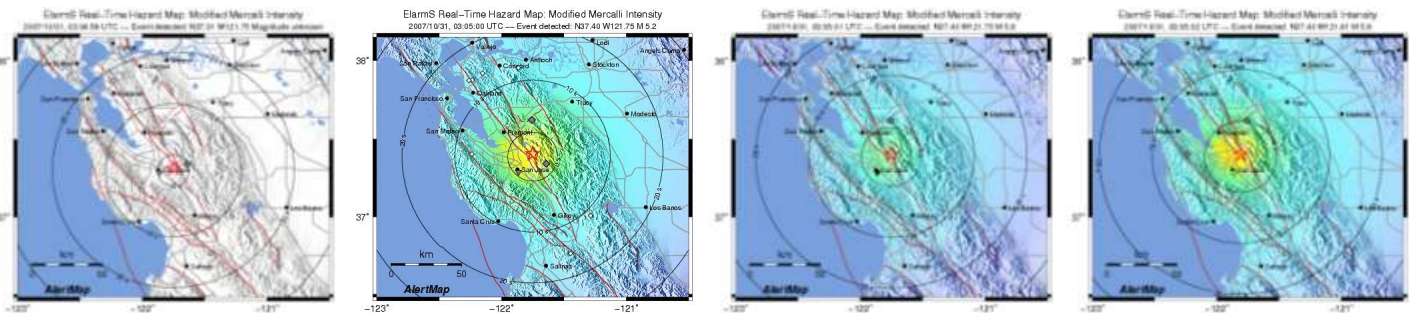


Intensity	Peak Accel. (mm/s²)	Peak Accel. (g)	Peak Accel. (m/s²)	Peak Accel. (g)	Peak Accel. (m/s²)	Peak Accel. (g)	Peak Accel. (m/s²)	Peak Accel. (g)	Peak Accel. (m/s²)	Peak Accel. (g)
II	10	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001
III	25	0.0025	0.0025	0.0025	0.0025	0.0025	0.0025	0.0025	0.0025	0.0025
IV	50	0.005	0.005	0.005	0.005	0.005	0.005	0.005	0.005	0.005
V	100	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01
VI	200	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02
VII	400	0.04	0.04	0.04	0.04	0.04	0.04	0.04	0.04	0.04
VIII	800	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08
IX	1600	0.16	0.16	0.16	0.16	0.16	0.16	0.16	0.16	0.16
X	3200	0.32	0.32	0.32	0.32	0.32	0.32	0.32	0.32	0.32
XI	6400	0.64	0.64	0.64	0.64	0.64	0.64	0.64	0.64	0.64
XII	12800	1.28	1.28	1.28	1.28	1.28	1.28	1.28	1.28	1.28

Rapid-ShakeMap
currently at 1.5 min



Stream of earthquake information starts with first trigger



Summary

ElarmS-RT operational statewide in California

- 600 sensors from 5 networks, 3 processing centers

Accurately detecting earthquakes

where there is good station coverage

- Alum Rock: prediction before ground shaking in San Francisco
- Chino Hills: accurate but slow (3 station within 100 km)

System latency currently 15 sec

- reduced to ~12 sec with current software/infrastructure
- reduced to ~7 sec with software upgrade
- reduced to 2-3 sec with infrastructure upgrade

Challenges/Questions for this workshop

What are the criteria to issue an alarm?

How do we do a better job for finite faults?

What are the appropriate steps toward implementation?

